

Advanced Topics in Condensed Matter

Lecture 2: Crystal lattices and structures

Dr. Ivan Zaluzhnyy

Prof. Dr. Frank Schreiber

EBERHARD KARLS
UNIVERSITÄT
TÜBINGEN

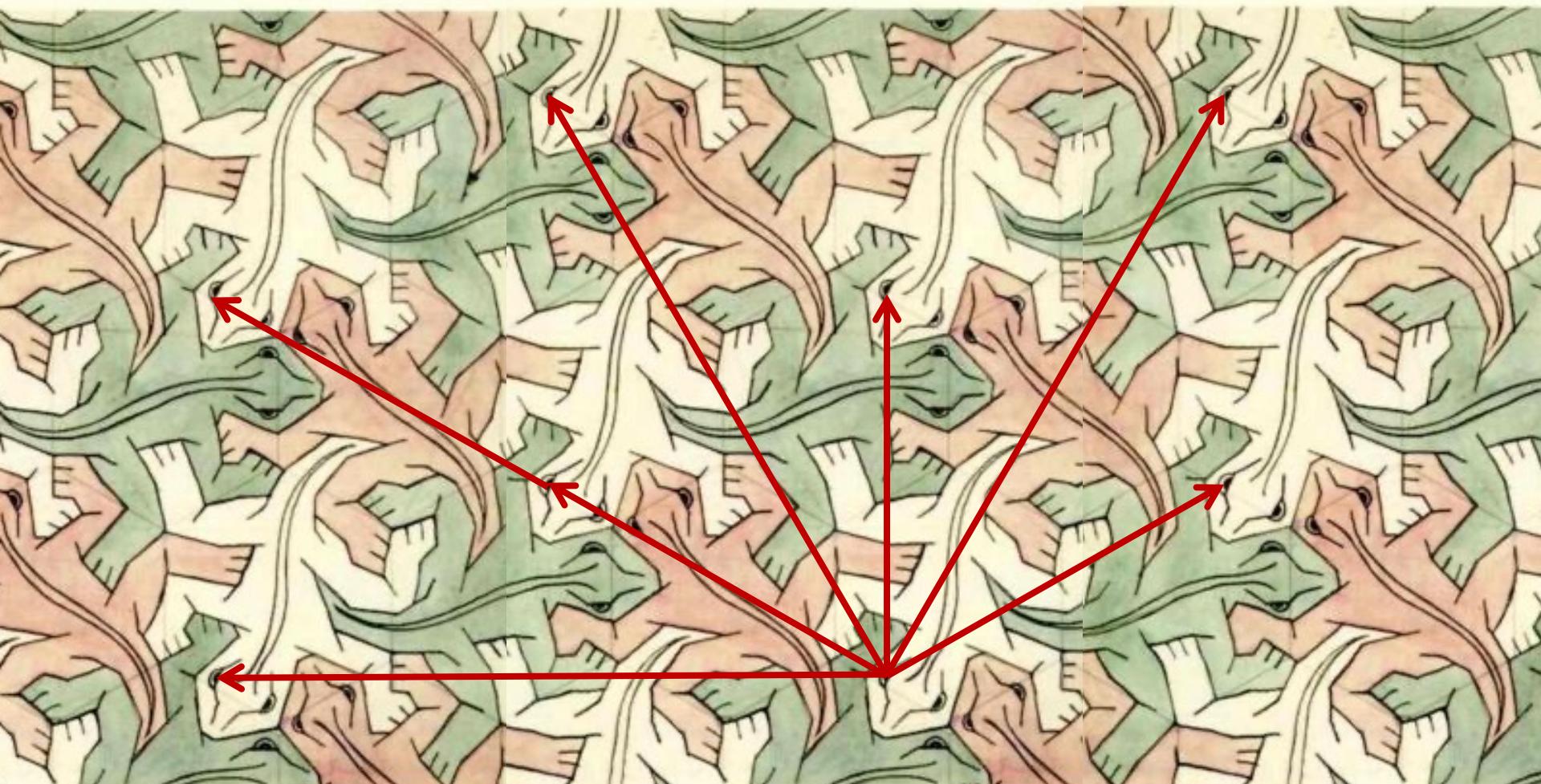


Periodicity



M. C. Escher, Regular Division of the Plane #25 (1939)

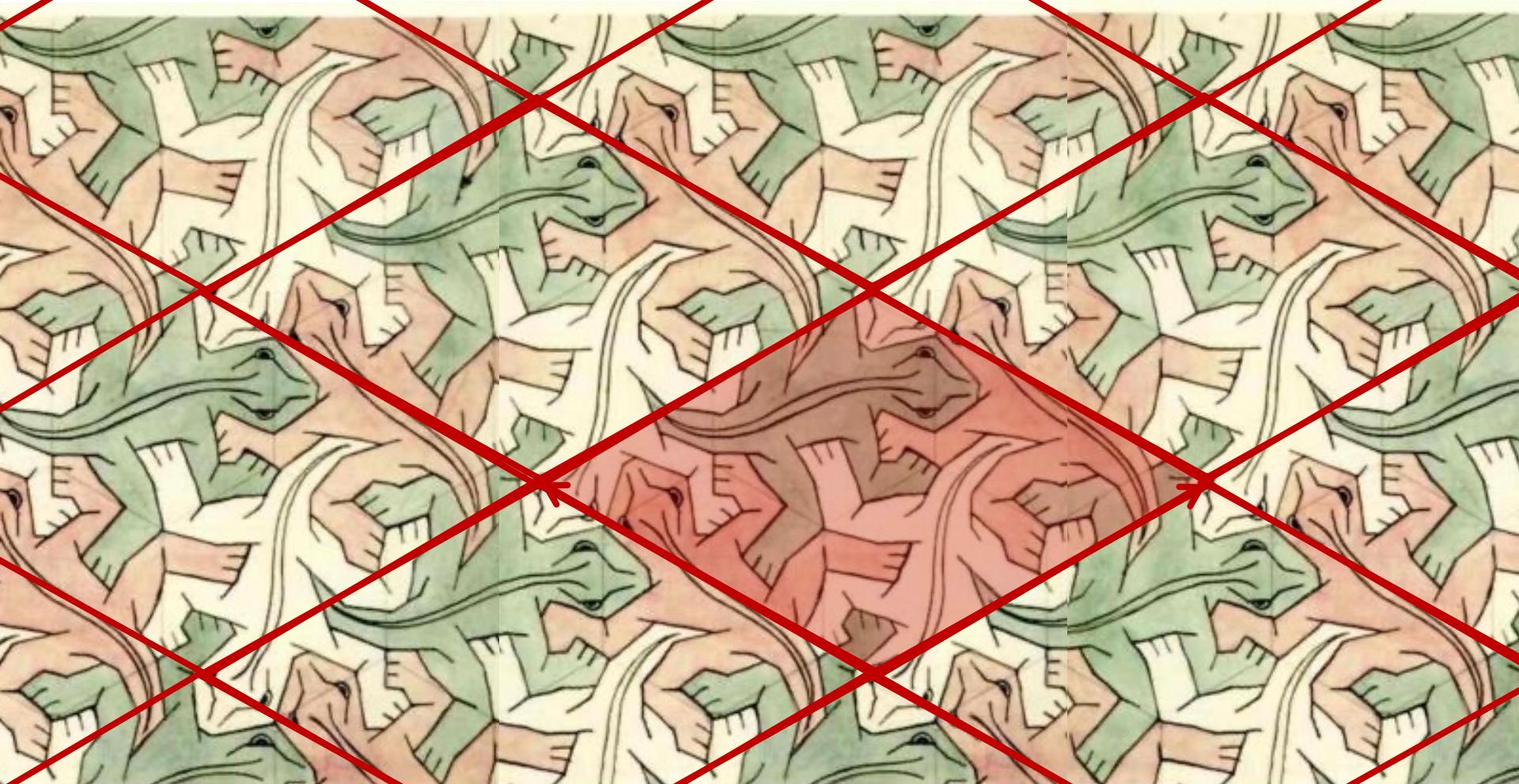
Periodicity



Translation symmetry

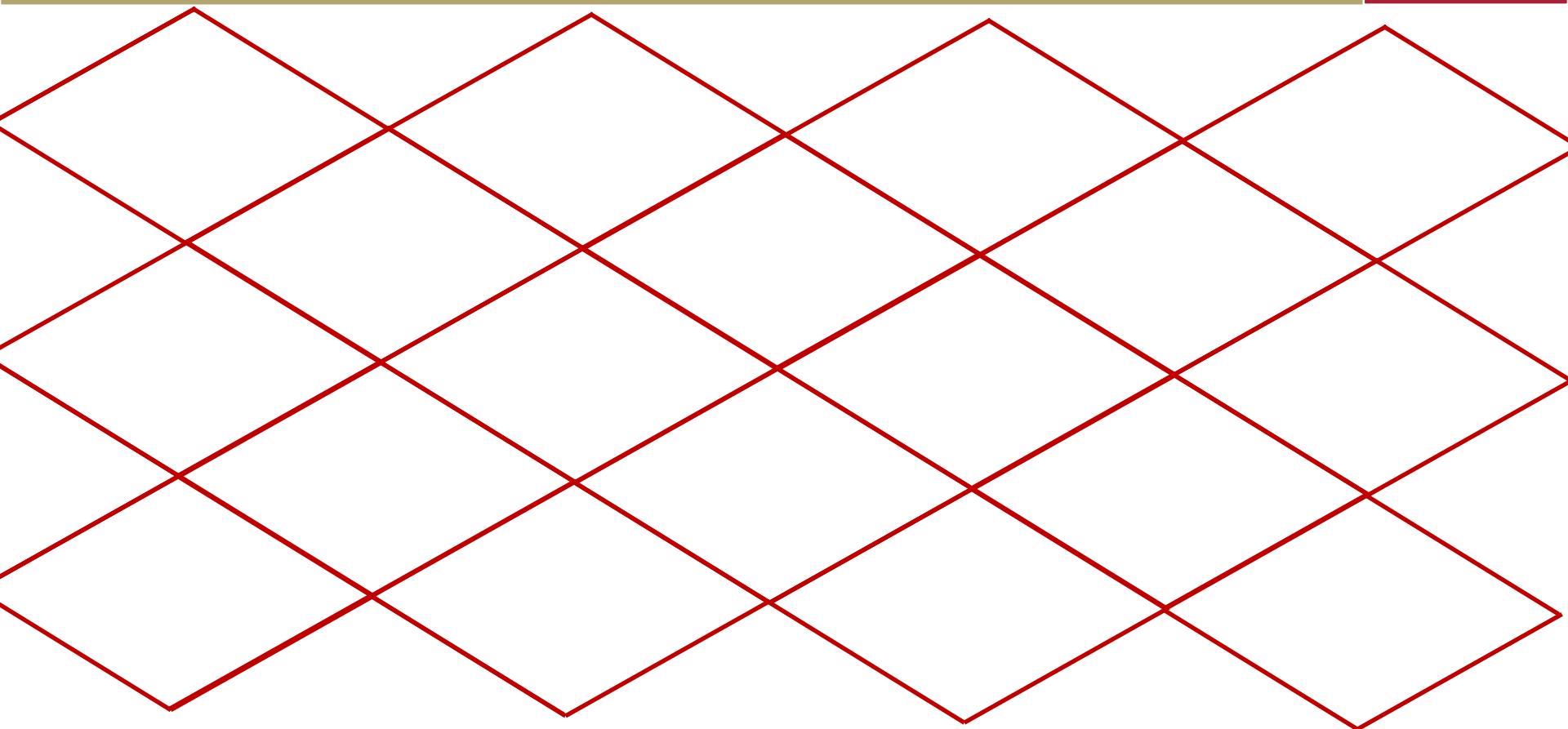
M. C. Escher, Regular Division of the Plane #25 (1939)

Periodicity



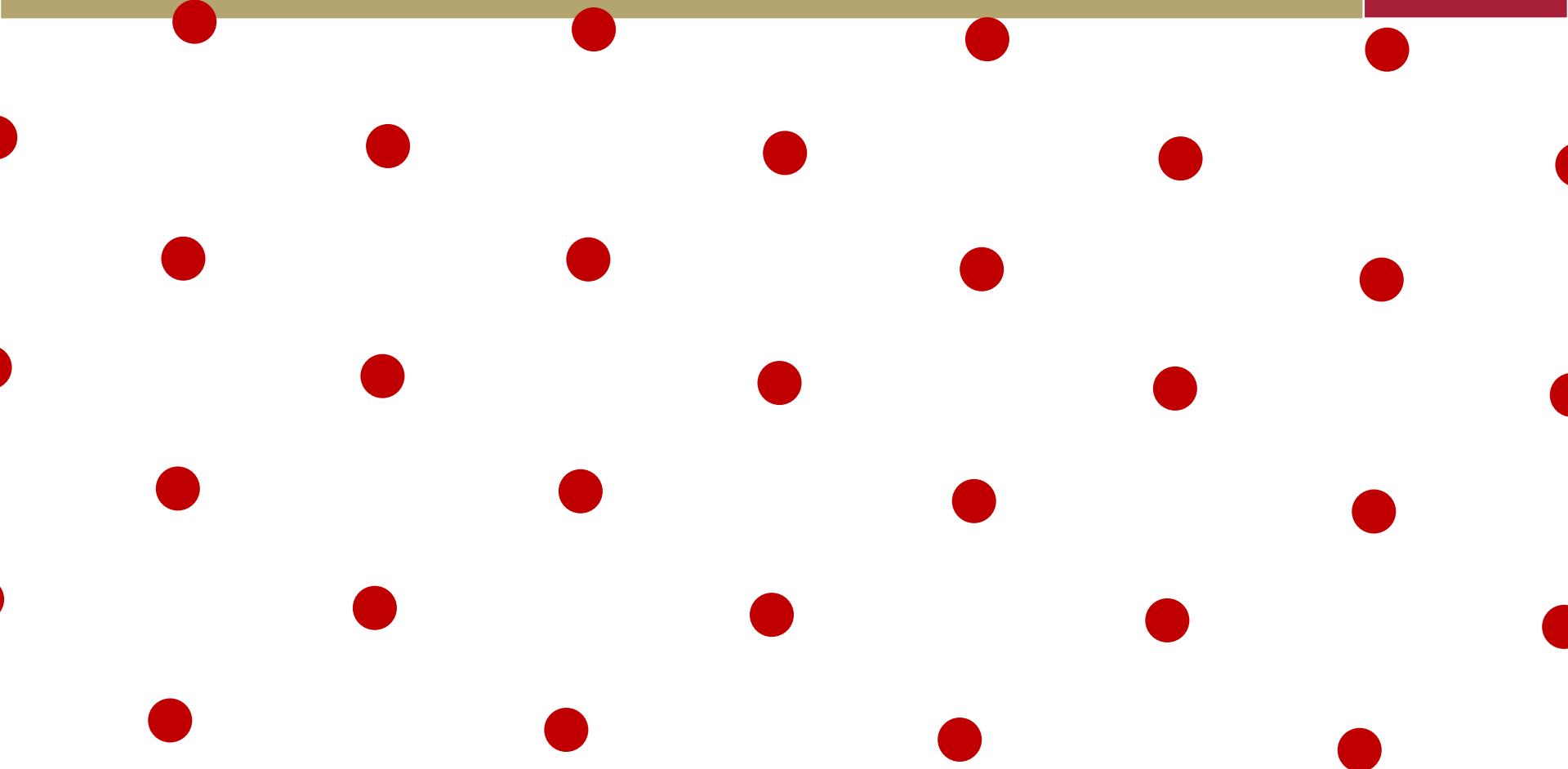
M. C. Escher, *Regular Division of the Plane #25 (1939)*

Crystal lattice



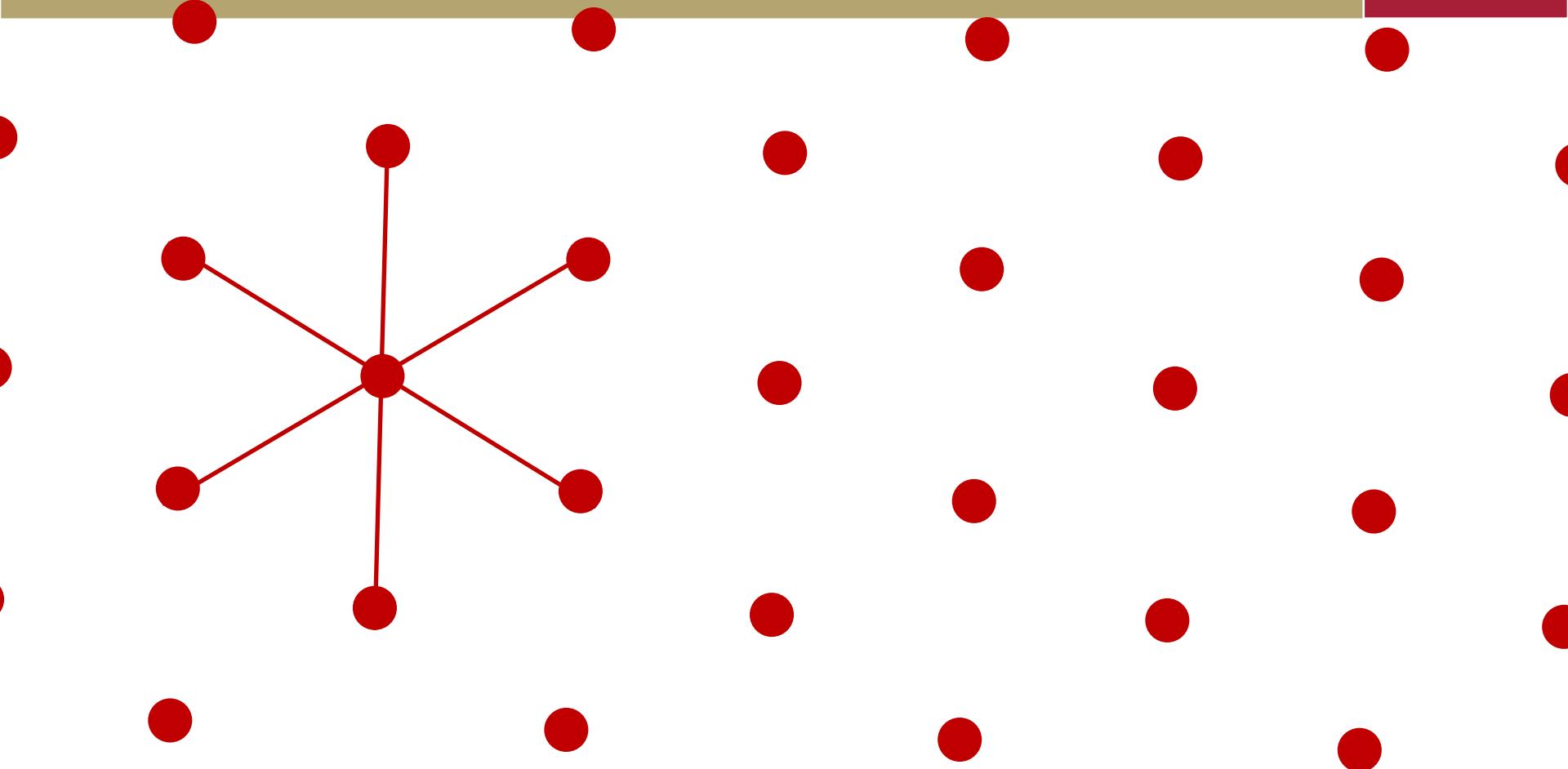
$$\vec{R}_n = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3$$

Crystal lattice



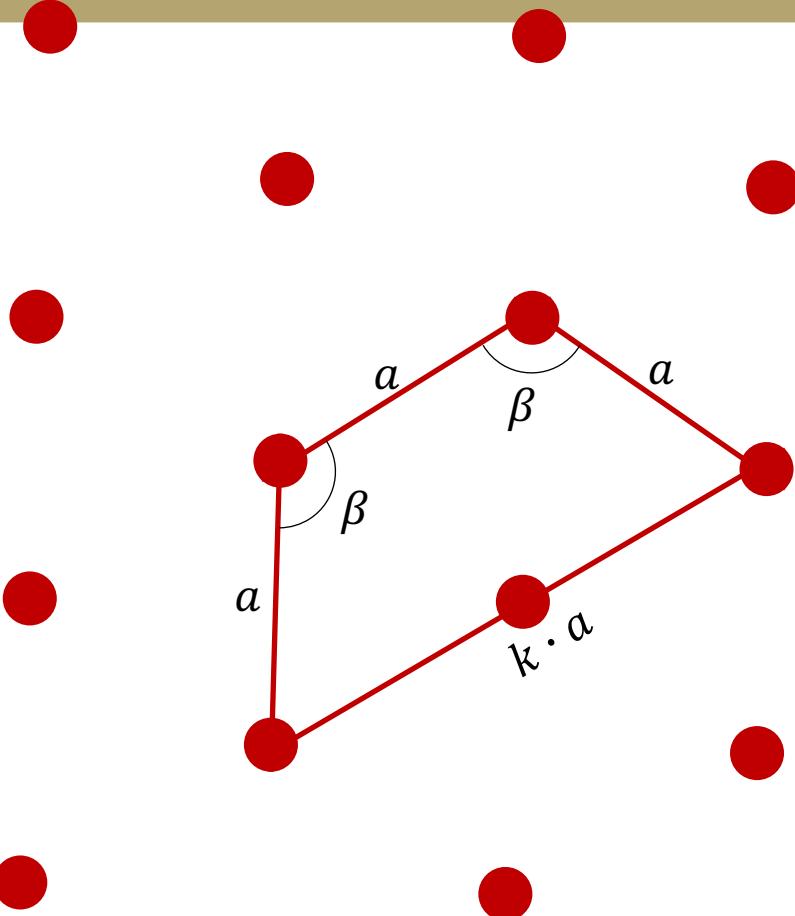
Lattice – an infinite array of discrete points, generated by a set of discrete translation operations: $\vec{R}_n = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3$

Rotational symmetry



Only rotational axes of orders $n = 1, 2, 3, 4, 6$ are possible

Rotational symmetry



$$ka = a - 2a \cos \beta$$

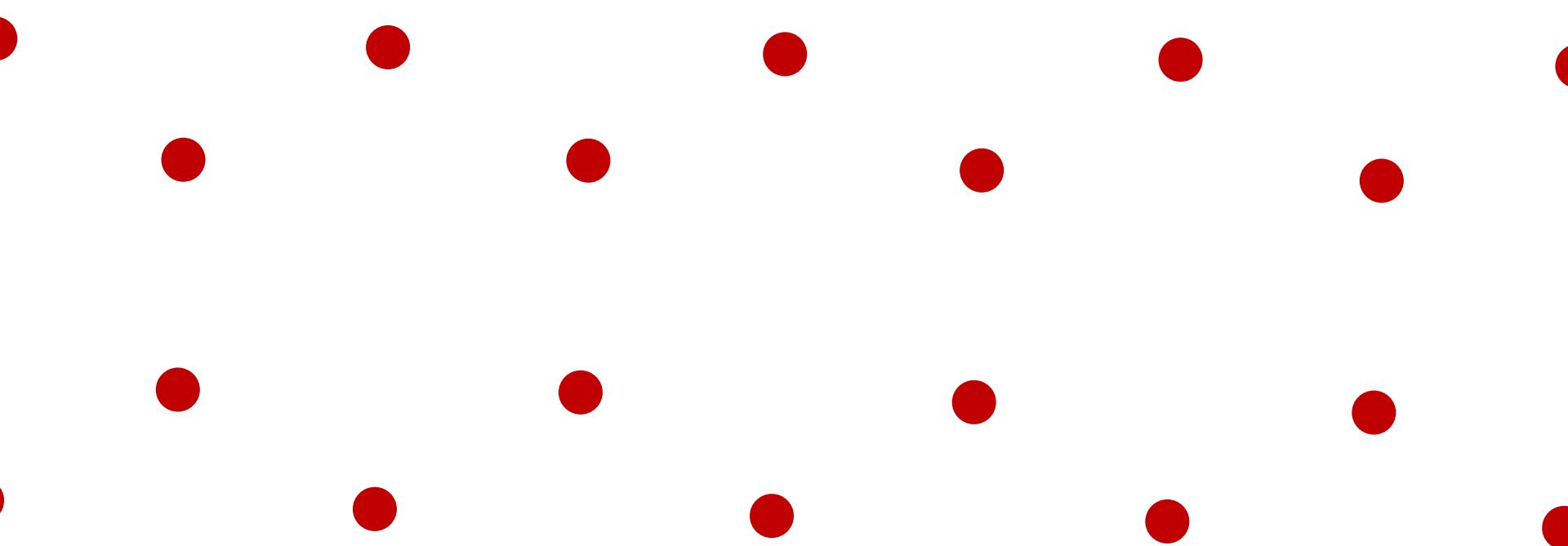
$$\cos \beta = \frac{1 - k}{2}$$

$$k = -1, 0, 1, 2, 3$$

$$\beta = 0, \frac{\pi}{3}, \frac{\pi}{2}, \frac{2\pi}{3}, \pi$$

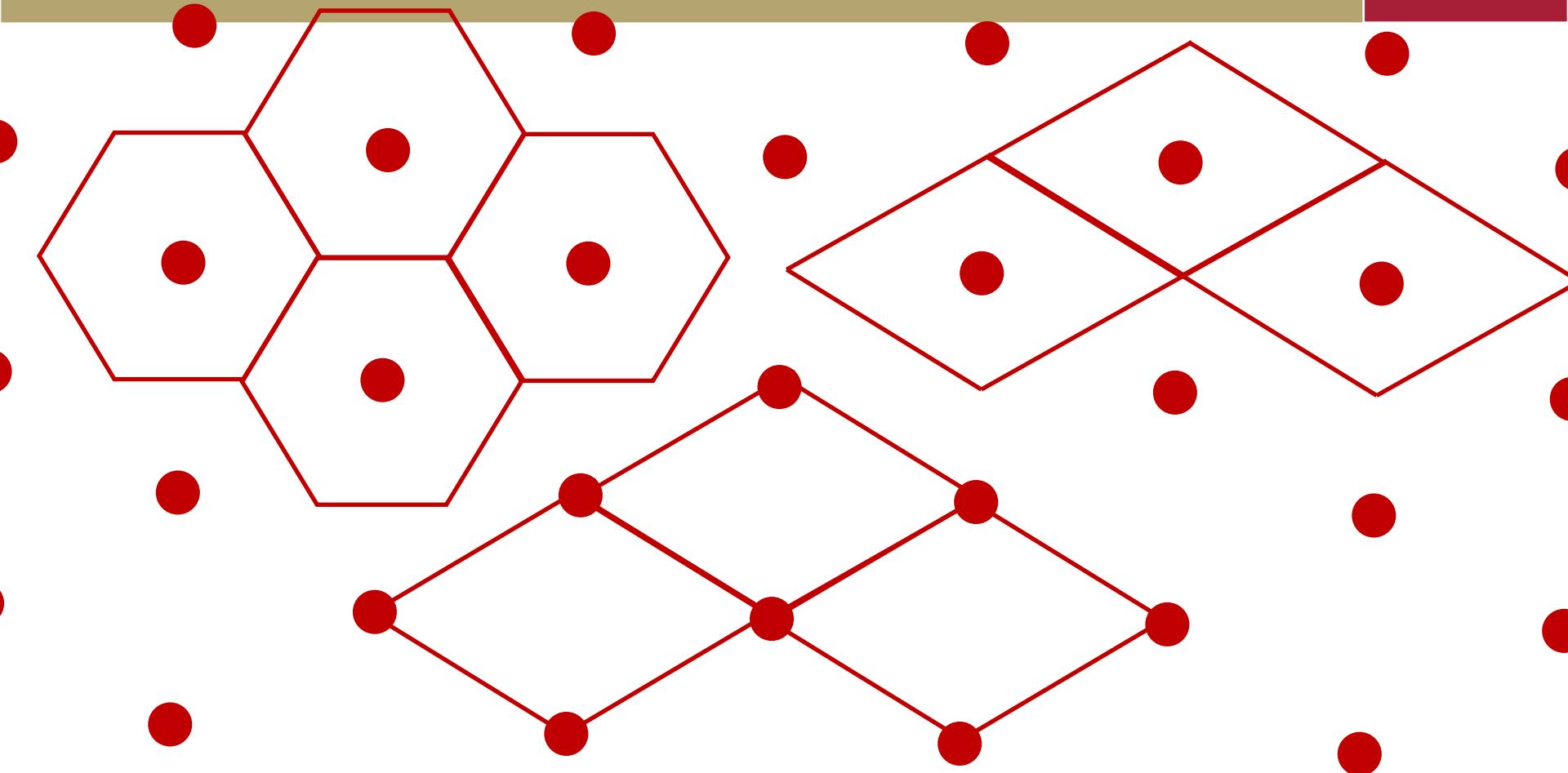
Only rotational axes of orders $n = 1, 2, 3, 4, 6$ are possible

Crystal lattice



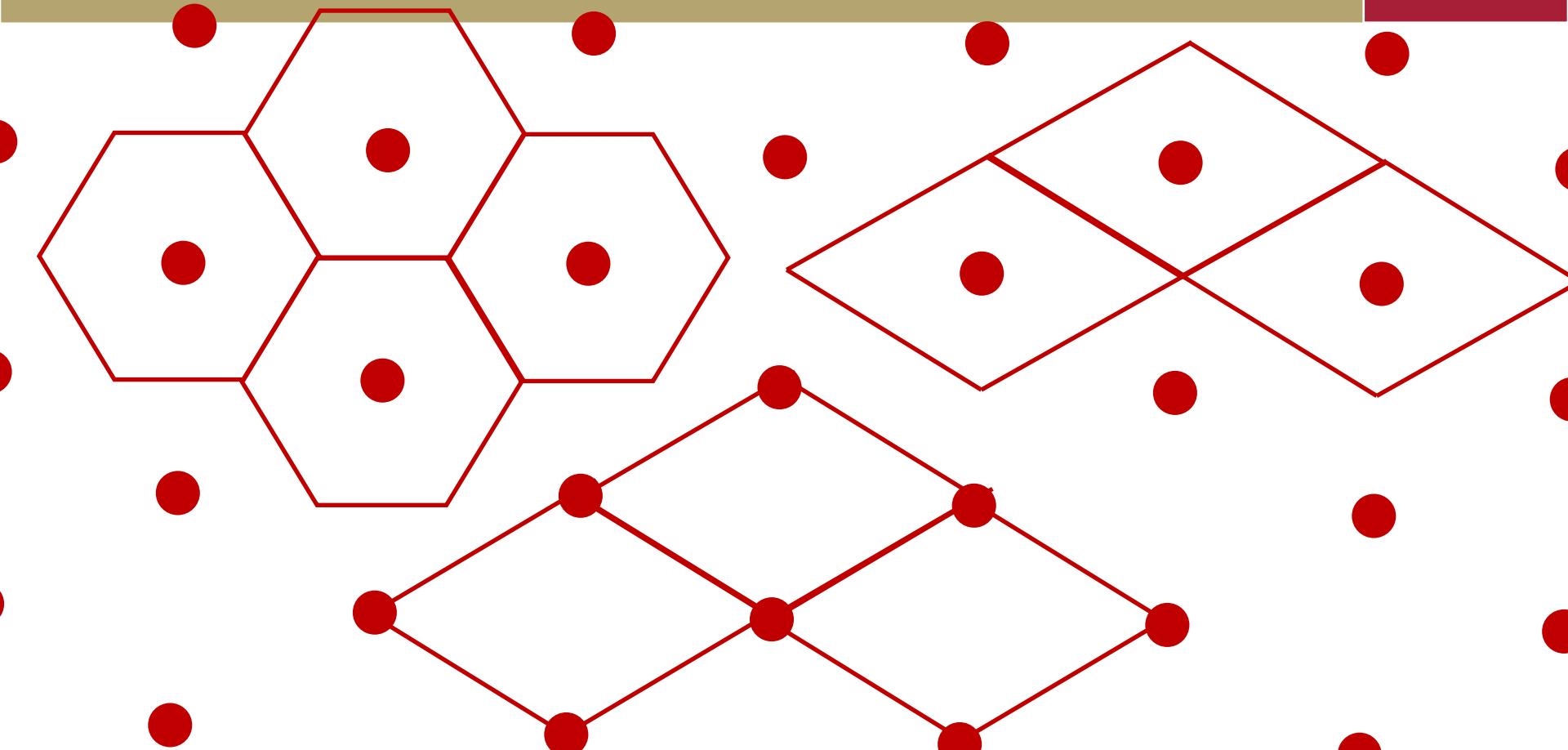
Lattice – an infinite array of discrete points, generated by a set of discrete translation operations: $\vec{R}_n = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3$

Unit cell



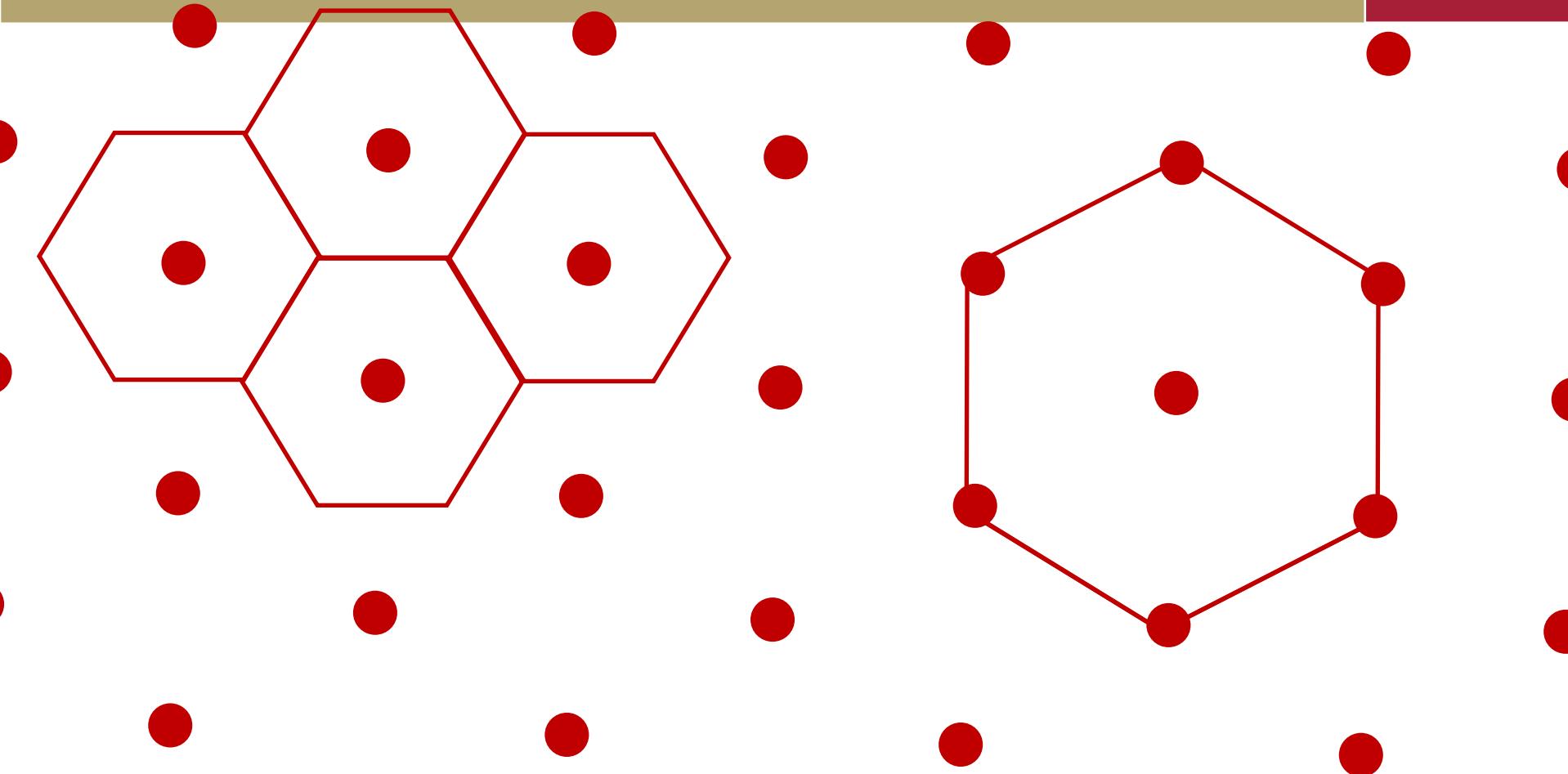
Unit cell – a volume, a repetitive arrangement of which (pure translations, i.e.
 $\vec{R}_n = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3$) can fill the whole space without overlaps and gaps.

Unit cell



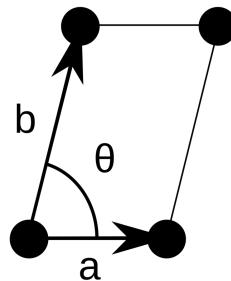
Primitive unit cell – one lattice site per cell

Unit cell

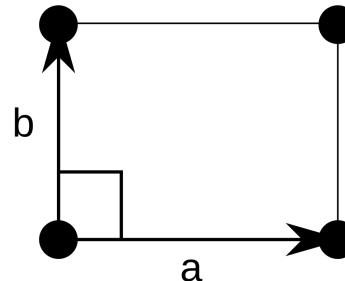


Conventional unit cell – contains the full symmetry of the lattice and may include more than one lattice point

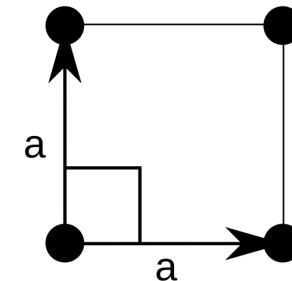
Crystal systems in 2D



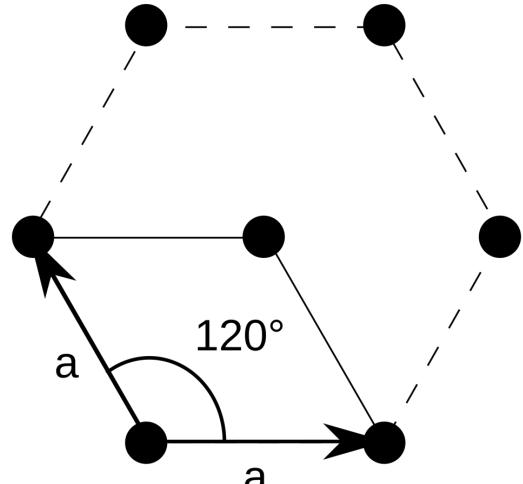
Monoclinic



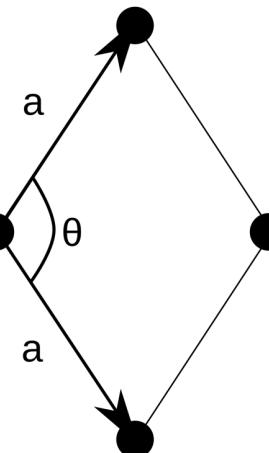
Orthorhombic



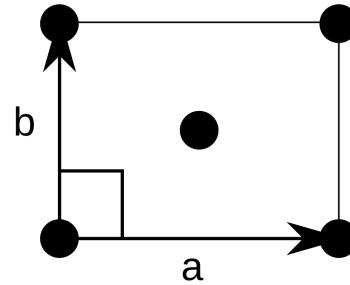
Tetragonal (Square)



Hexagonal



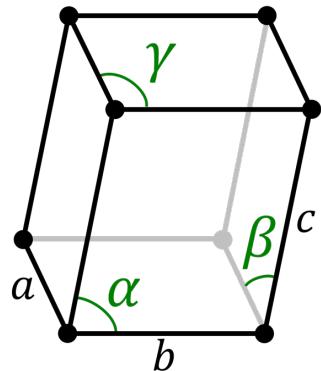
Rhombohedral
(primitive)



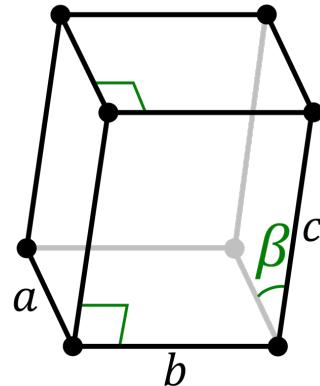
Centered rectangular
(conventional)

5 Bravais lattices are possible in 2D.

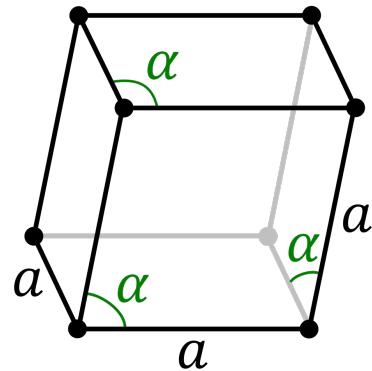
Crystal systems in 3D



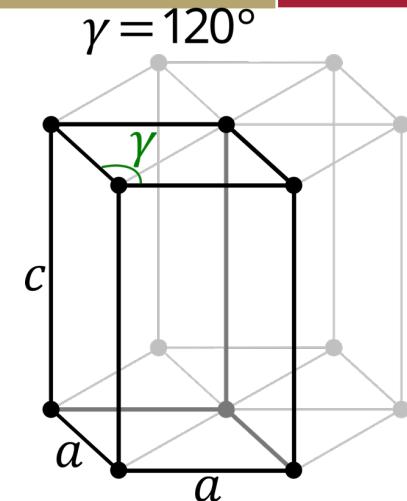
Triclinic



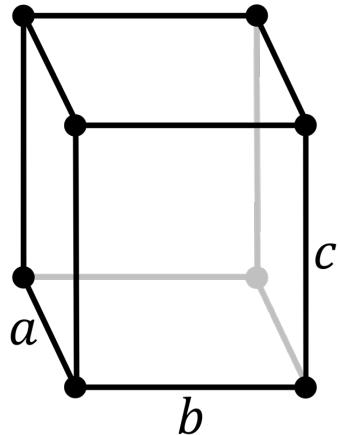
Monoclinic



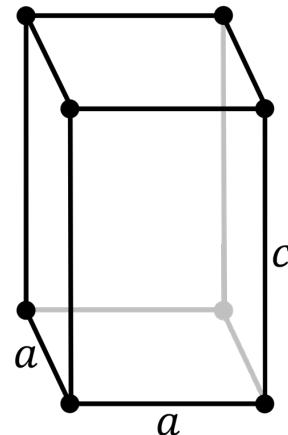
Rhombohedral



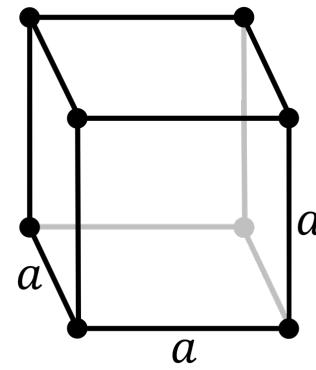
Hexagonal



Orthorhombic



Tetragonal



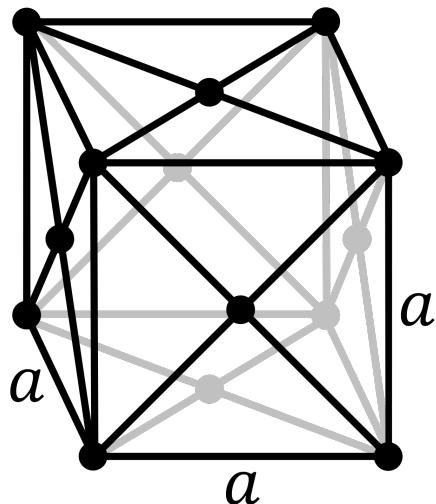
Cubic

Bravais lattices in 3D

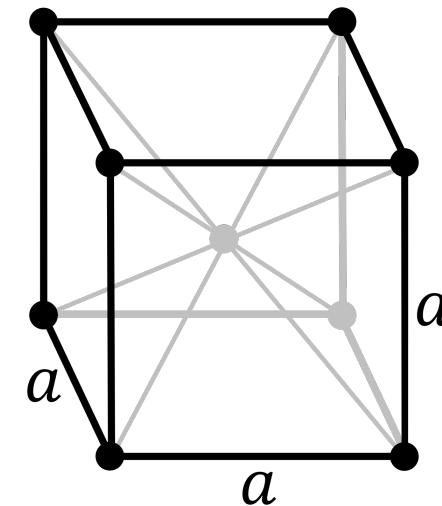
14 Bravais lattices are possible in 3D.

Crystal Family	Lattice System	Schönlies	14 Bravais Lattices			
			Primitive (P)	Base-centered (C)	Body-centered (I)	Face-centered (F)
Triclinic		C _i				
Monoclinic		C _{2h}	$\beta \neq 90^\circ$ $a \neq c$	$\beta \neq 90^\circ$ $a \neq c$		
Orthorhombic		D _{2h}	$a \neq b \neq c$	$a \neq b \neq c$	$a \neq b \neq c$	$a \neq b \neq c$
Tetragonal		D _{4h}	$a \neq c$		$a \neq c$	
Hexagonal	Rhombohedral	D _{3d}	$\alpha \neq 90^\circ$ $a = a$			
	Hexagonal	D _{6h}	$\gamma = 120^\circ$			
Cubic		O _h				

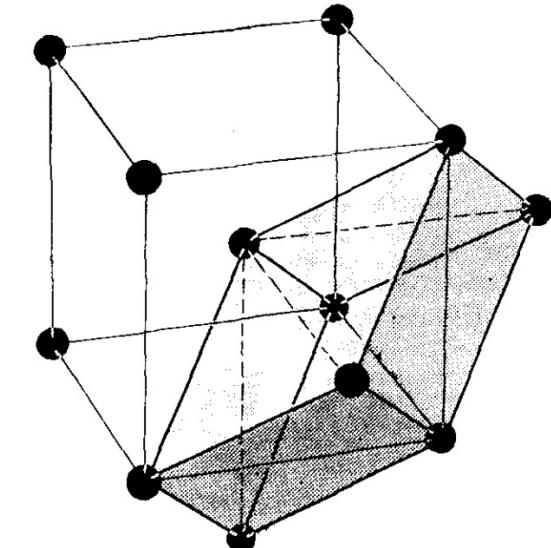
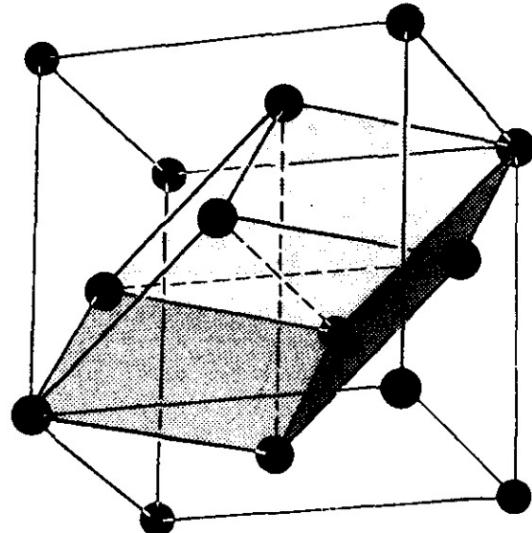
Primitive unit cells for cubic lattices



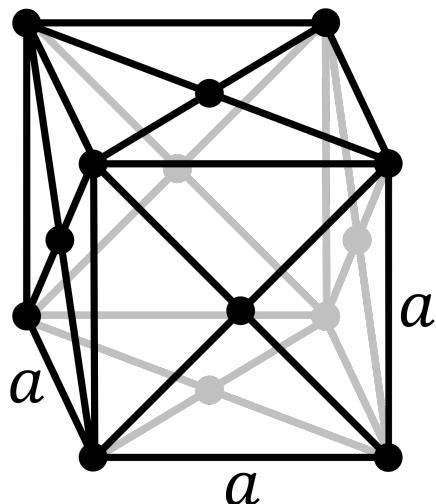
Face Centered Cubic (FCC)



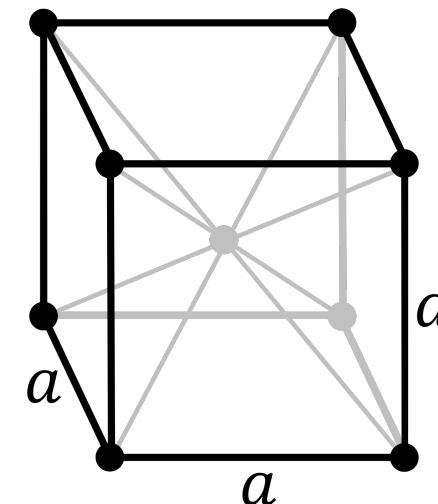
Body Centered Cubic (BCC)



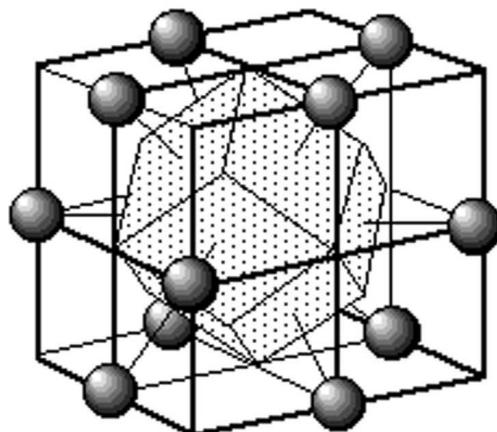
Primitive unit cells for cubic lattices



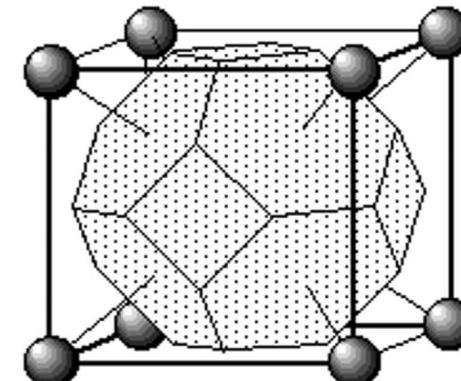
Face Centered Cubic (FCC)



Body Centered Cubic (BCC)



rhombic dodecahedron



truncated octahedron

Primitive unit cells for cubic lattices

Face Centered Cubic (FCC)



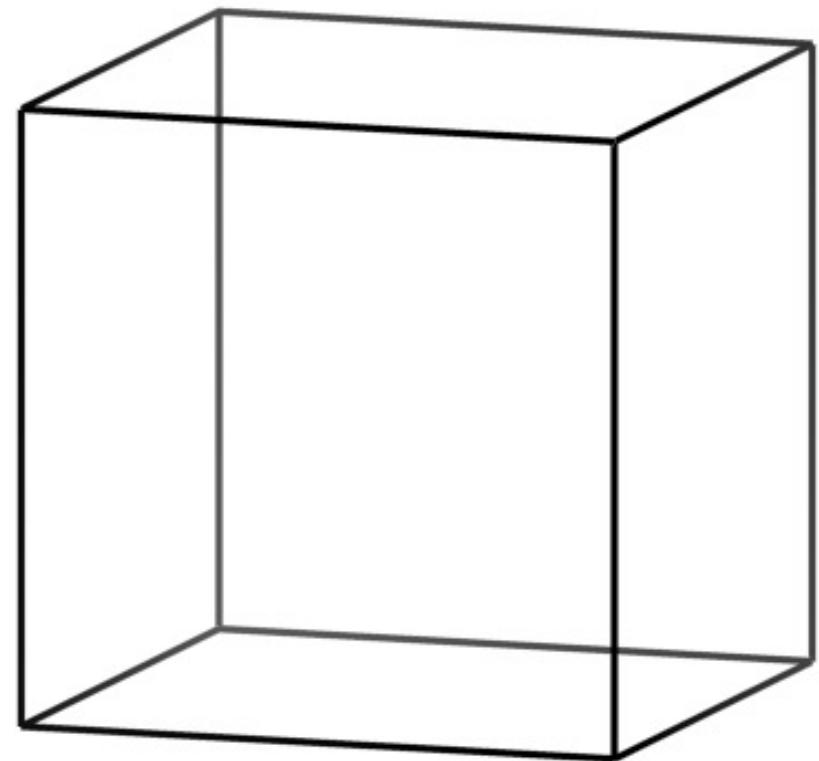
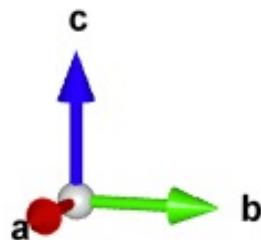
Body Centered Cubic (BCC)



Building a crystal of LaB₆

1. Unit cell parameters

cubic unit cell: $a = b = c = 4.15 \text{ \AA}$
 $\alpha = \beta = \gamma = 90^\circ$

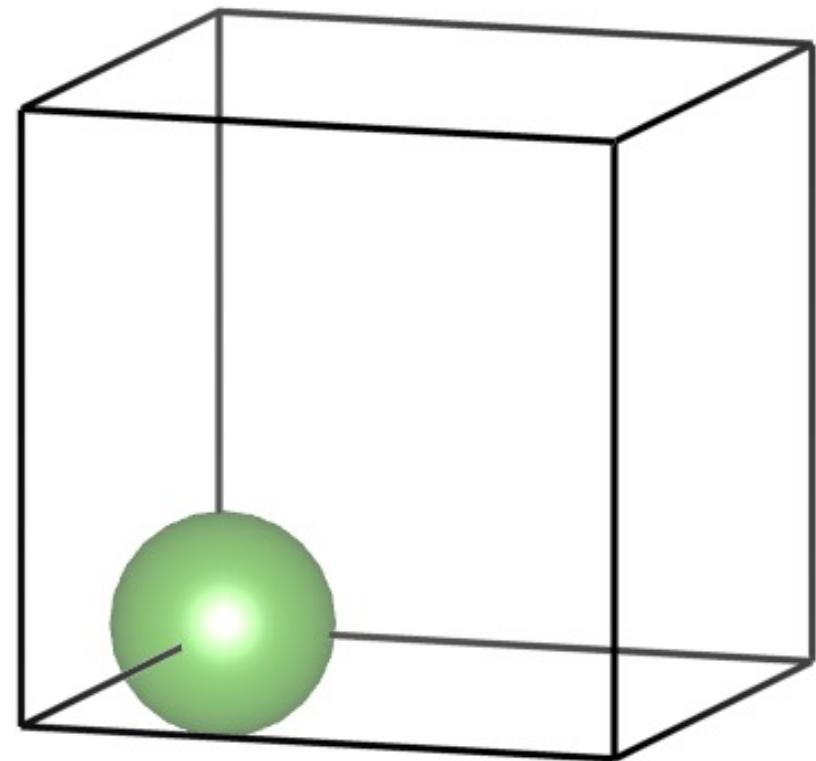
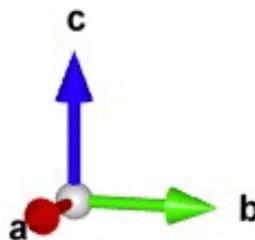


Building a crystal of LaB₆

2. Locating atoms within the unit cell

La:

$$\vec{r} = 0 \cdot \vec{a}_1 + 0 \cdot \vec{a}_2 + 0 \cdot \vec{a}_3 = (0,0,0)$$



Building a crystal of LaB₆

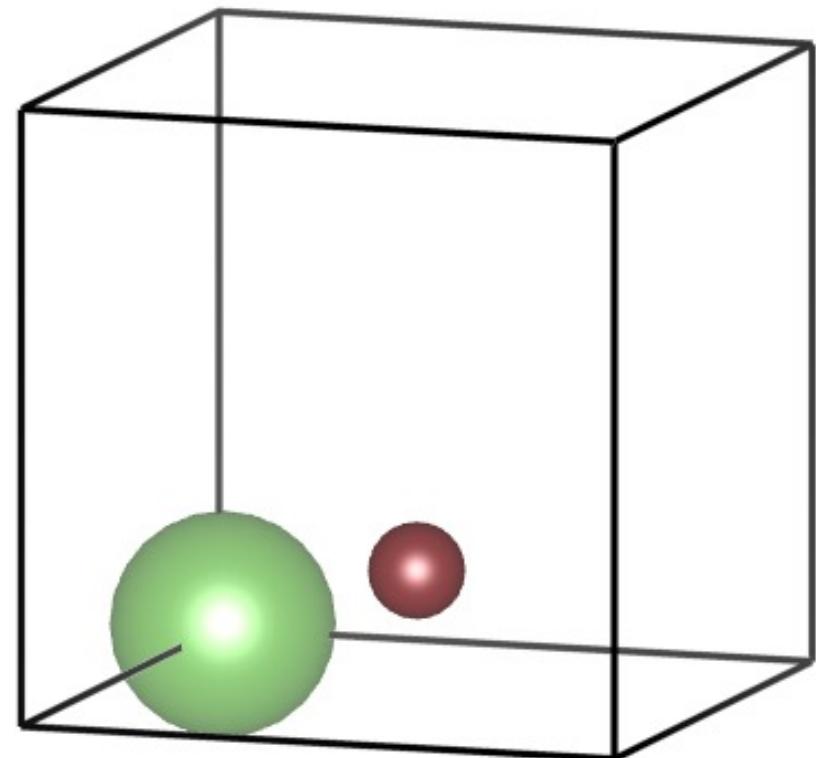
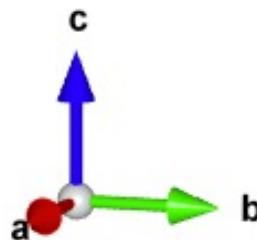
2. Locating atoms within the unit cell

La:

$$\vec{r} = 0 \cdot \vec{a}_1 + 0 \cdot \vec{a}_2 + 0 \cdot \vec{a}_3 = (0,0,0)$$

B:

$$\vec{r}_1 = \frac{1}{2} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{5} \cdot \vec{a}_3 = \left(\frac{1}{2}, \frac{1}{2}, \frac{1}{5}\right)$$



Building a crystal of LaB₆

2. Locating atoms within the unit cell

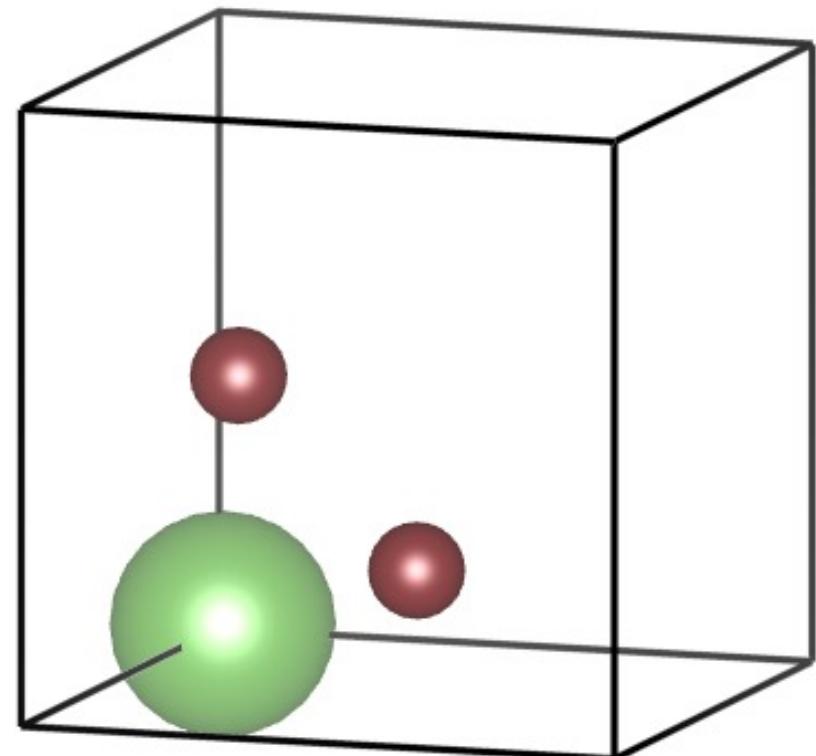
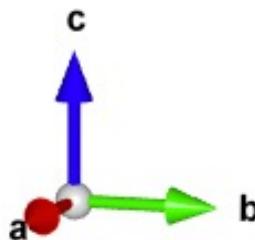
La:

$$\vec{r} = 0 \cdot \vec{a}_1 + 0 \cdot \vec{a}_2 + 0 \cdot \vec{a}_3 = (0,0,0)$$

B:

$$\vec{r}_1 = \frac{1}{2} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{5} \cdot \vec{a}_3 = \left(\frac{1}{2}, \frac{1}{2}, \frac{1}{5}\right)$$

$$\vec{r}_2 = \frac{1}{5} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = \left(\frac{1}{5}, \frac{1}{2}, \frac{1}{2}\right)$$



Building a crystal of LaB₆

2. Locating atoms within the unit cell

La:

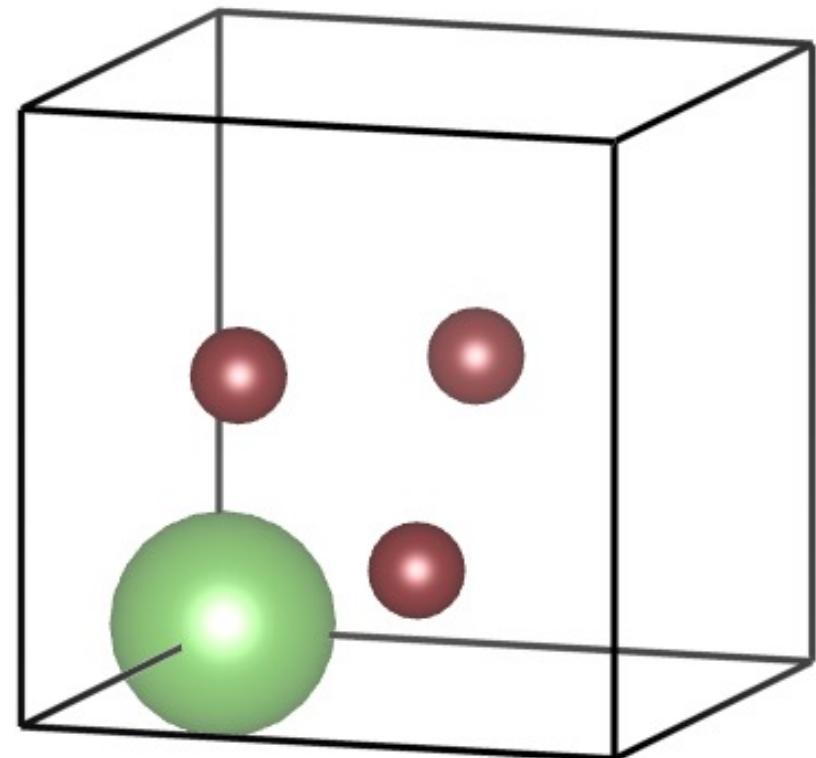
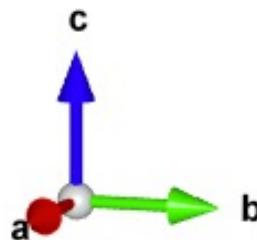
$$\vec{r} = 0 \cdot \vec{a}_1 + 0 \cdot \vec{a}_2 + 0 \cdot \vec{a}_3 = (0,0,0)$$

B:

$$\vec{r}_1 = \frac{1}{2} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{5} \cdot \vec{a}_3 = \left(\frac{1}{2}, \frac{1}{2}, \frac{1}{5}\right)$$

$$\vec{r}_2 = \frac{1}{5} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = \left(\frac{1}{5}, \frac{1}{2}, \frac{1}{2}\right)$$

$$\vec{r}_3 = \frac{1}{2} \cdot \vec{a}_1 + \frac{1}{5} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = \left(\frac{1}{2}, \frac{1}{5}, \frac{1}{2}\right)$$



Building a crystal of LaB₆

2. Locating atoms within the unit cell

La:

$$\vec{r} = 0 \cdot \vec{a}_1 + 0 \cdot \vec{a}_2 + 0 \cdot \vec{a}_3 = (0,0,0)$$

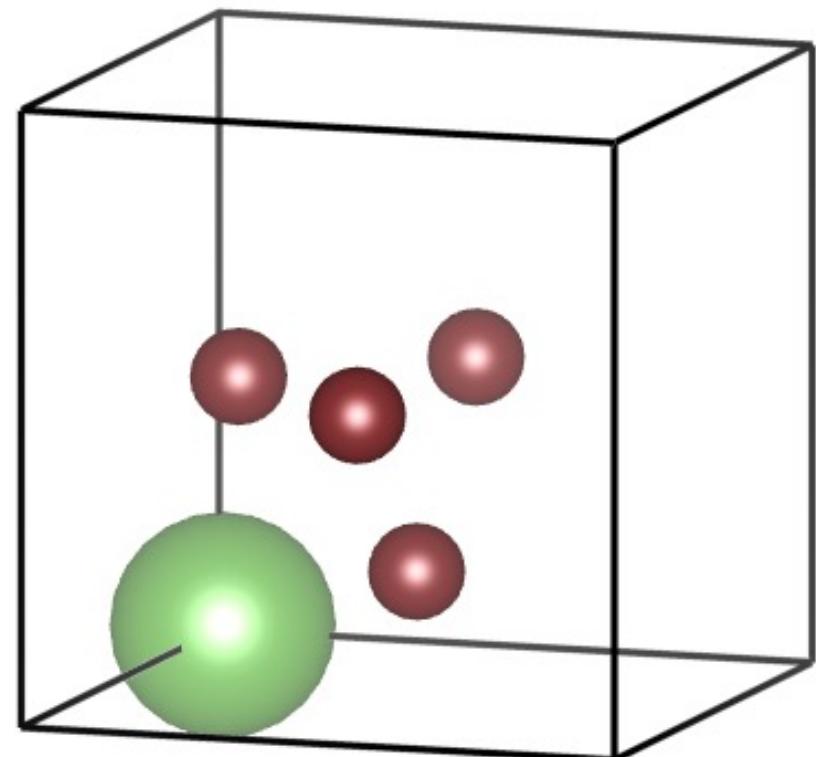
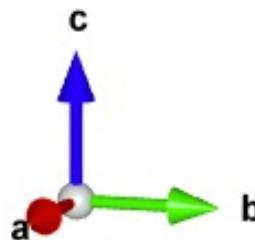
B:

$$\vec{r}_1 = \frac{1}{2} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{5} \cdot \vec{a}_3 = (\frac{1}{2}, \frac{1}{2}, \frac{1}{5})$$

$$\vec{r}_2 = \frac{1}{5} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = (\frac{1}{5}, \frac{1}{2}, \frac{1}{2})$$

$$\vec{r}_3 = \frac{1}{2} \cdot \vec{a}_1 + \frac{1}{5} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = (\frac{1}{2}, \frac{1}{5}, \frac{1}{2})$$

$$\vec{r}_4 = \frac{4}{5} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = (\frac{4}{5}, \frac{1}{2}, \frac{1}{2})$$



Building a crystal of LaB₆

2. Locating atoms within the unit cell

La:

$$\vec{r} = 0 \cdot \vec{a}_1 + 0 \cdot \vec{a}_2 + 0 \cdot \vec{a}_3 = (0,0,0)$$

B:

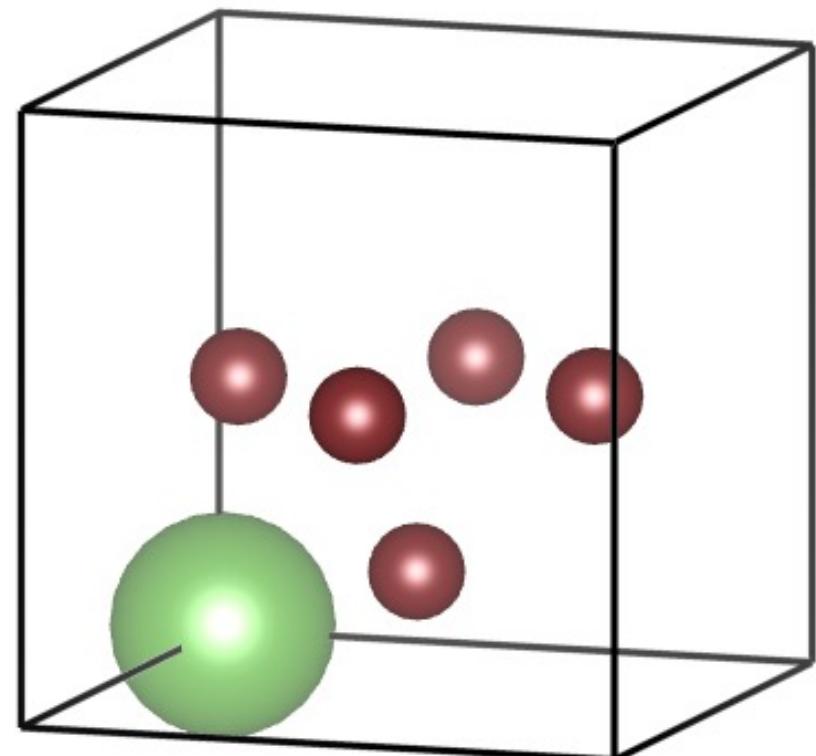
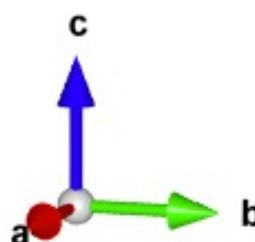
$$\vec{r}_1 = \frac{1}{2} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{5} \cdot \vec{a}_3 = \left(\frac{1}{2}, \frac{1}{2}, \frac{1}{5}\right)$$

$$\vec{r}_2 = \frac{1}{5} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = \left(\frac{1}{5}, \frac{1}{2}, \frac{1}{2}\right)$$

$$\vec{r}_3 = \frac{1}{2} \cdot \vec{a}_1 + \frac{1}{5} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = \left(\frac{1}{2}, \frac{1}{5}, \frac{1}{2}\right)$$

$$\vec{r}_4 = \frac{4}{5} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = \left(\frac{4}{5}, \frac{1}{2}, \frac{1}{2}\right)$$

$$\vec{r}_5 = \frac{1}{2} \cdot \vec{a}_1 + \frac{4}{5} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = \left(\frac{1}{2}, \frac{4}{5}, \frac{1}{2}\right)$$



Building a crystal of LaB₆

2. Locating atoms within the unit cell

La:

$$\vec{r} = 0 \cdot \vec{a}_1 + 0 \cdot \vec{a}_2 + 0 \cdot \vec{a}_3 = (0,0,0)$$

B:

$$\vec{r}_1 = \frac{1}{2} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{5} \cdot \vec{a}_3 = \left(\frac{1}{2}, \frac{1}{2}, \frac{1}{5}\right)$$

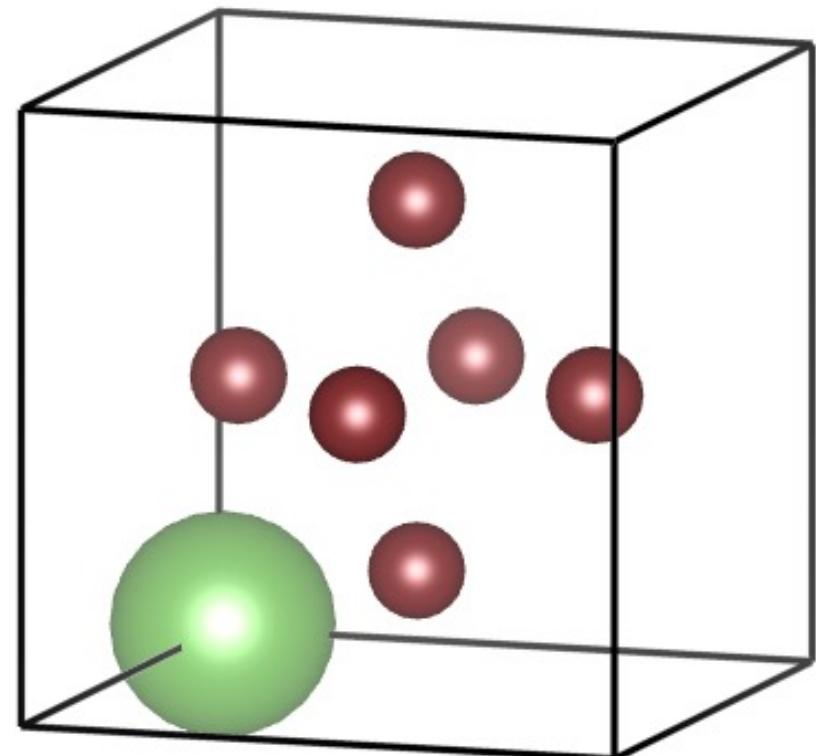
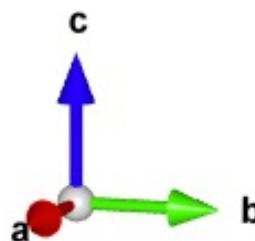
$$\vec{r}_2 = \frac{1}{5} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = \left(\frac{1}{5}, \frac{1}{2}, \frac{1}{2}\right)$$

$$\vec{r}_3 = \frac{1}{2} \cdot \vec{a}_1 + \frac{1}{5} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = \left(\frac{1}{2}, \frac{1}{5}, \frac{1}{2}\right)$$

$$\vec{r}_4 = \frac{4}{5} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = \left(\frac{4}{5}, \frac{1}{2}, \frac{1}{2}\right)$$

$$\vec{r}_5 = \frac{1}{2} \cdot \vec{a}_1 + \frac{4}{5} \cdot \vec{a}_2 + \frac{1}{2} \cdot \vec{a}_3 = \left(\frac{1}{2}, \frac{4}{5}, \frac{1}{2}\right)$$

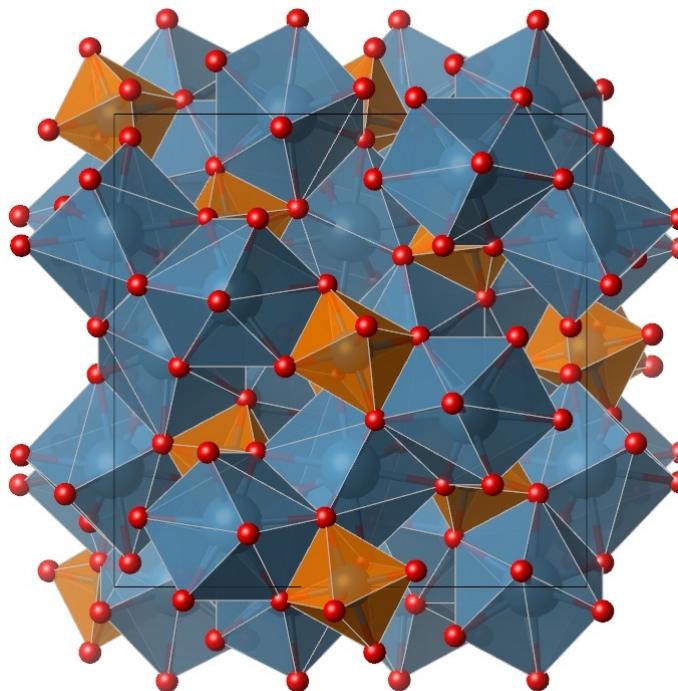
$$\vec{r}_6 = \frac{1}{2} \cdot \vec{a}_1 + \frac{1}{2} \cdot \vec{a}_2 + \frac{4}{5} \cdot \vec{a}_3 = \left(\frac{1}{2}, \frac{1}{2}, \frac{4}{5}\right)$$



230 crystal families

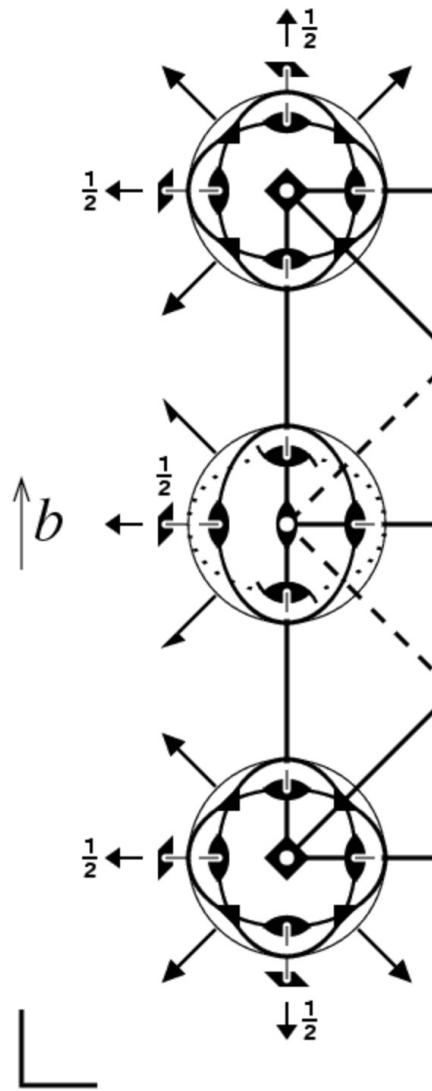


#221
Pm-3m
Example - ZIF-71-RHO

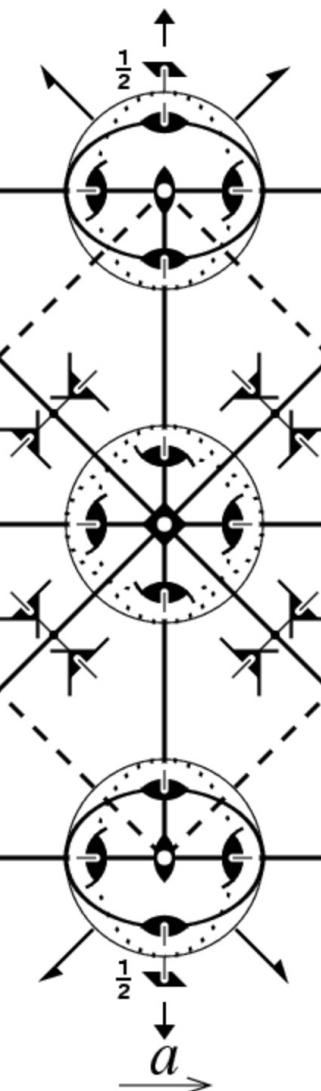


Point symmetry of LaB_6

$Pm\bar{3}m$



$P 4/m \bar{3} 2/m$



$m\bar{3}m$

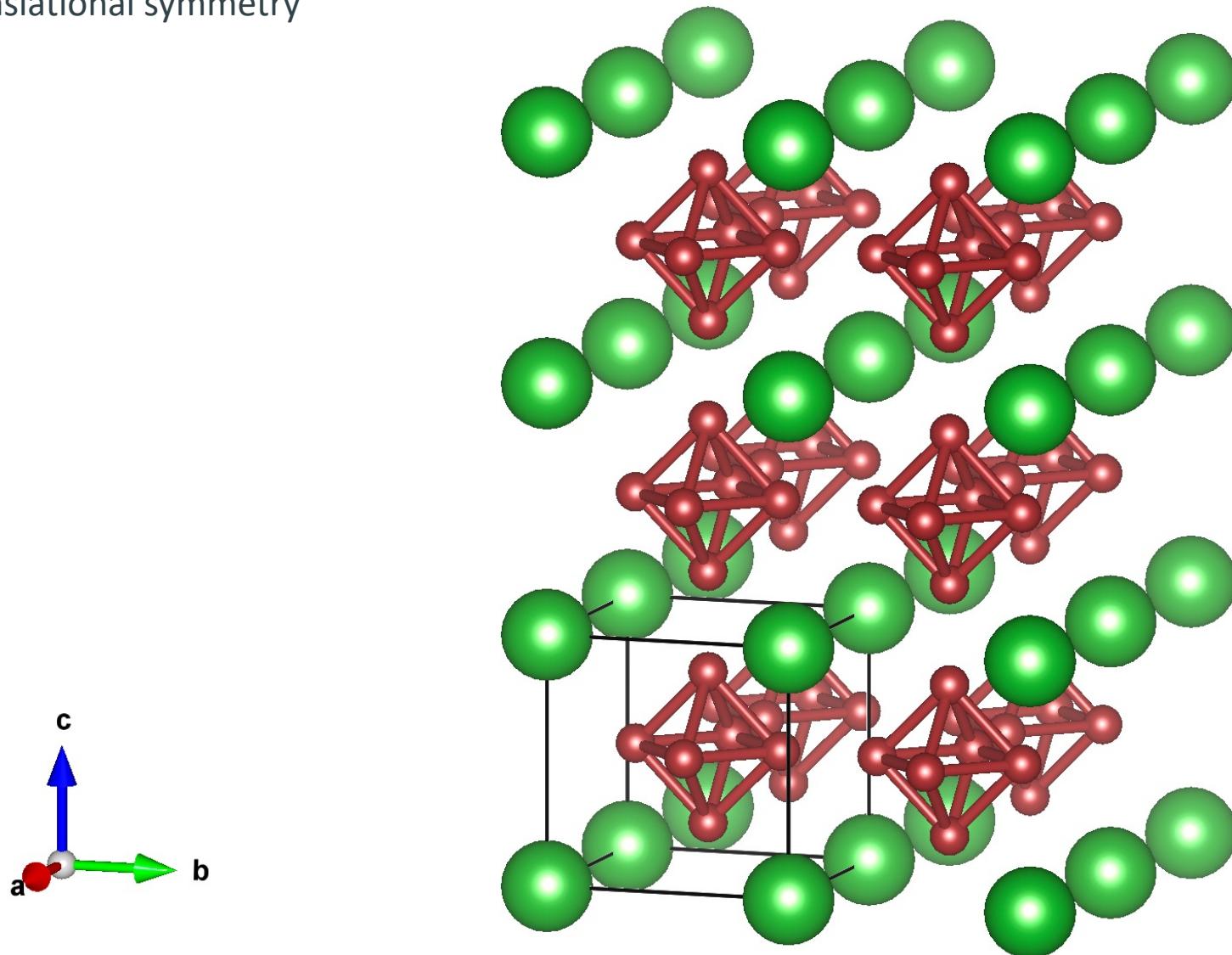
- 1 x, y, z
- 2 x, \bar{y}, \bar{z}
- 3 \bar{x}, y, \bar{z}
- 4 \bar{x}, \bar{y}, z
- 5 z, x, y
- 6 \bar{z}, \bar{x}, y
- 7 z, \bar{x}, \bar{y}
- 8 \bar{z}, x, \bar{y}
- 9 y, z, x
- 10 \bar{y}, z, \bar{x}
- 11 \bar{y}, \bar{z}, x
- 12 y, \bar{z}, \bar{x}
- 13 x, \bar{z}, y
- 14 x, z, \bar{y}
- 15 $\bar{x}, \bar{z}, \bar{y}$
- 16 \bar{x}, z, y
- 17 z, y, \bar{x}
- 18 \bar{z}, y, x
- 19 $\bar{z}, \bar{y}, \bar{x}$
- 20 z, \bar{y}, x
- 21 \bar{y}, x, z
- 22 y, \bar{x}, z
- 23 $\bar{y}, \bar{x}, \bar{z}$
- 24 y, x, \bar{z}

No. 221

- 25 $\bar{x}, \bar{y}, \bar{z}$
- 26 \bar{x}, y, z
- 27 x, \bar{y}, z
- 28 x, y, \bar{z}
- 29 $\bar{z}, \bar{x}, \bar{y}$
- 30 z, x, \bar{y}
- 31 \bar{z}, x, y
- 32 z, \bar{x}, y
- 33 $\bar{y}, \bar{z}, \bar{x}$
- 34 y, \bar{z}, x
- 35 y, z, \bar{x}
- 36 \bar{y}, z, x
- 37 \bar{x}, z, \bar{y}
- 38 \bar{x}, \bar{z}, y
- 39 x, z, y
- 40 x, \bar{z}, \bar{y}
- 41 \bar{z}, \bar{y}, x
- 42 z, \bar{y}, \bar{x}
- 43 z, y, x
- 44 \bar{z}, y, \bar{x}
- 45 y, \bar{x}, \bar{z}
- 46 \bar{y}, x, \bar{z}
- 47 y, x, z
- 48 \bar{y}, \bar{x}, z

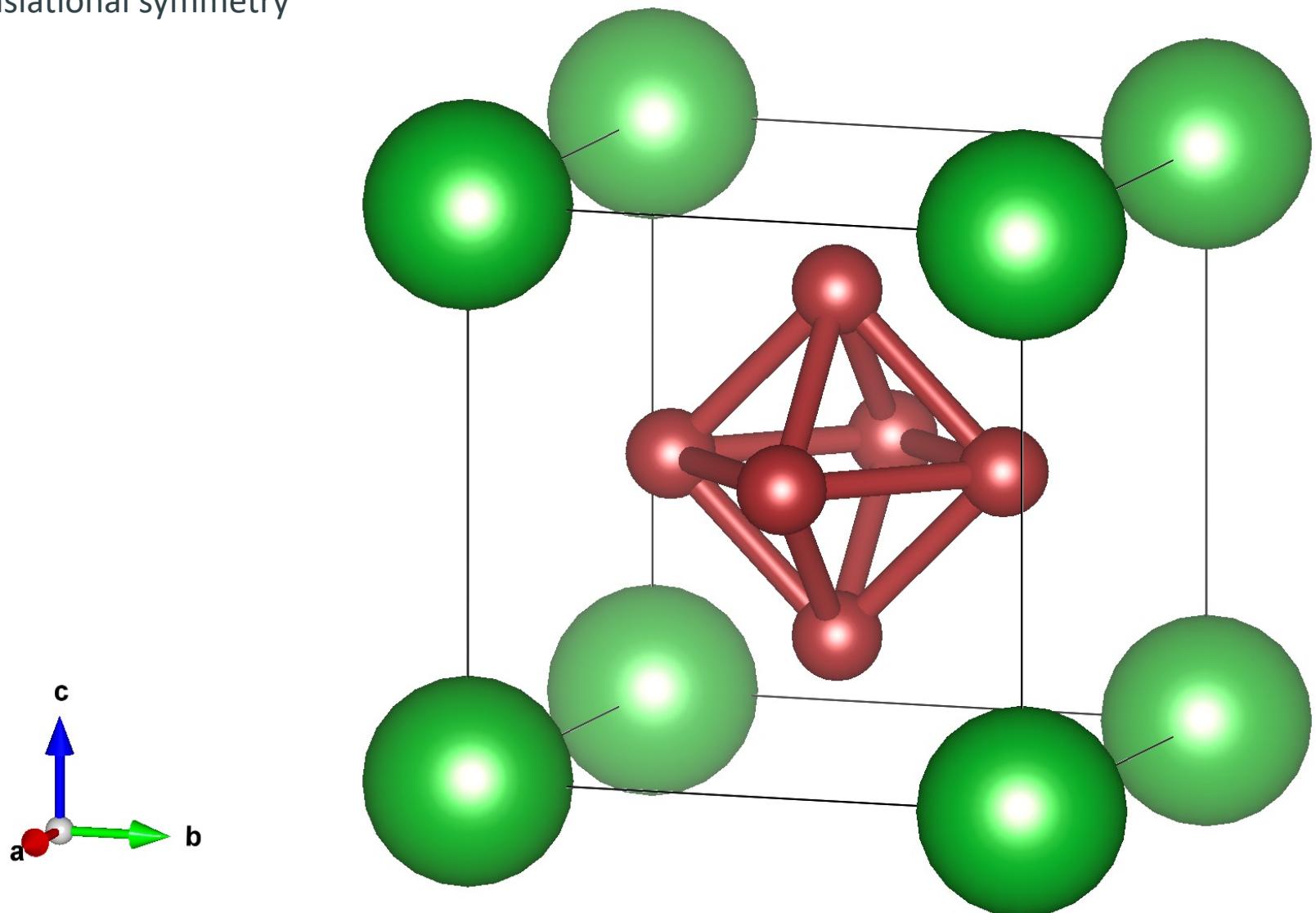
Building a crystal of LaB_6

3. Applying translational symmetry



Building a crystal of LaB_6

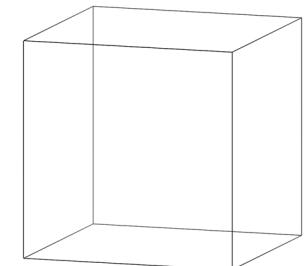
3. Applying translational symmetry



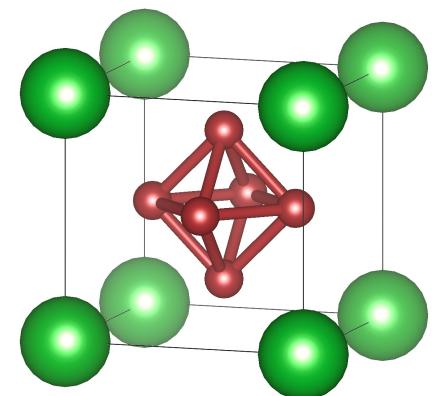
Crystal structure

Crystal structure = lattice + basis

Lattice describes periodicity of the structure. It is determined by the unit cell (3 lengths and 3 angles)



Basis describes arrangement of atoms within a unit cell



Position of any atom inside a crystal:

$$\vec{r} = \underbrace{n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3}_{\vec{R}_n} + \underbrace{x \vec{a}_1 + y \vec{a}_2 + z \vec{a}_3}_{\vec{r}_j}$$

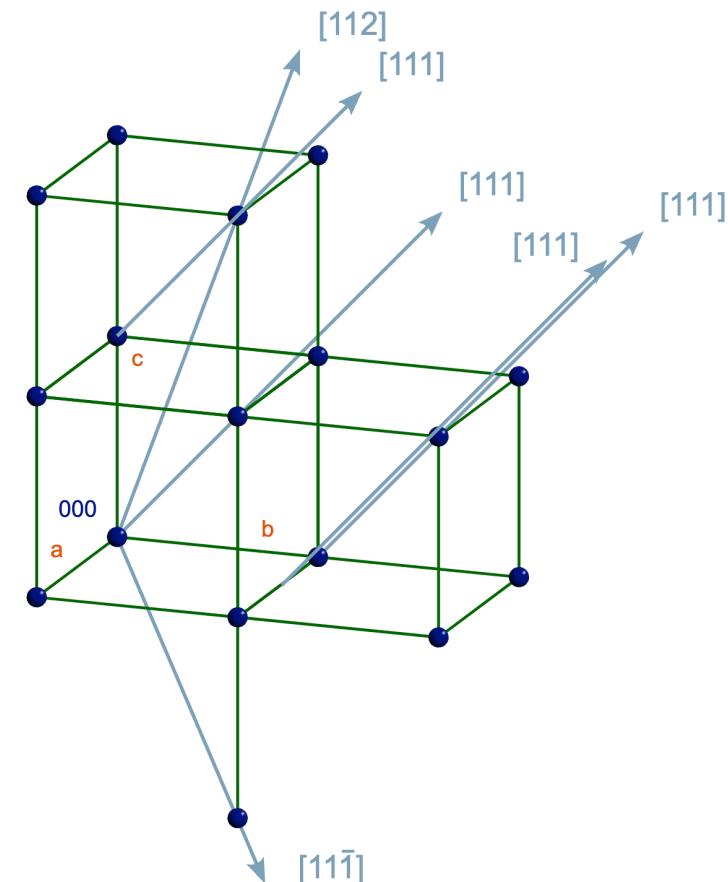
Crystallographic directions

In a lattice, each translation vector $\vec{R}_n = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3$ determines a direction

Directions are described with three integer Miller indices as $[uvw]$, where u, v, w – are three smallest integer numbers, proportional to the components of \vec{R}_n .

$[uvw]$ - direction

$\langle uvw \rangle$ - set of equivalent directions



Crystallographic planes

Lattice plane is defined by 3 non-collinear lattice points.

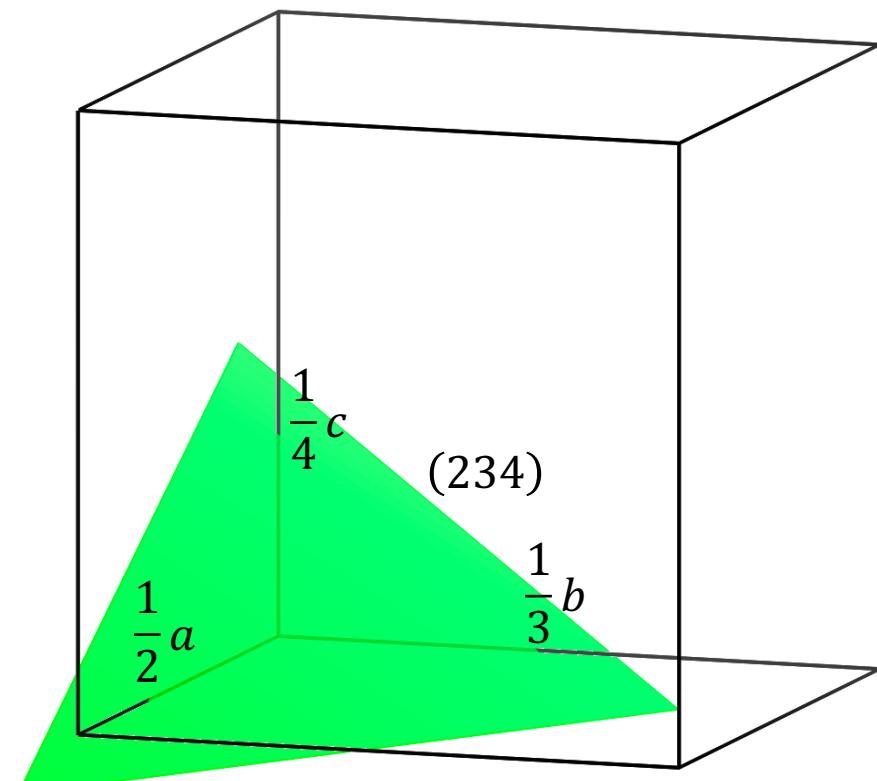
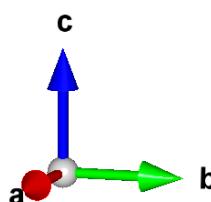
Lattice planes are described with three integer Miller indices as (hkl) , where h, k, l – are three smallest integer numbers, proportional to $\frac{1}{u}, \frac{1}{v}, \frac{1}{w}$.

(hkl) - lattice plane

$\{hkl\}$ - set of equivalent planes

$$u = \frac{1}{2} \quad v = \frac{1}{3} \quad w = \frac{1}{4}$$

$$\frac{1}{u} = 2 \quad \frac{1}{v} = 3 \quad \frac{1}{w} = 4$$



Crystallographic planes

Lattice plane is defined by 3 non-collinear lattice points.

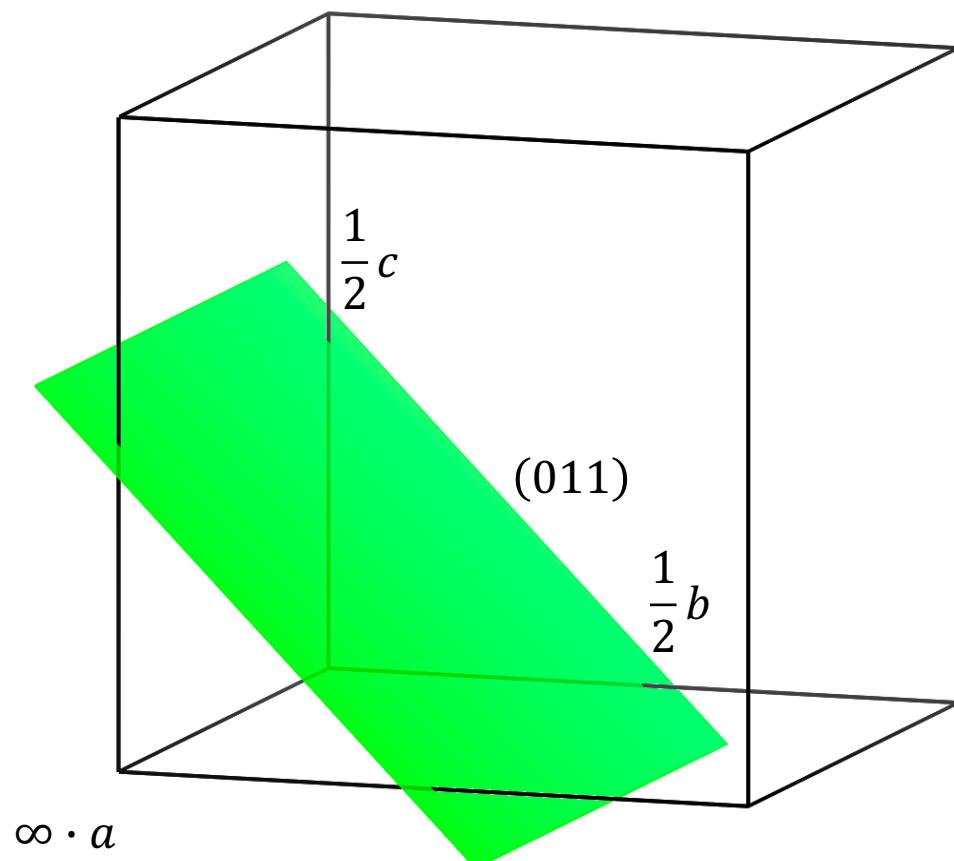
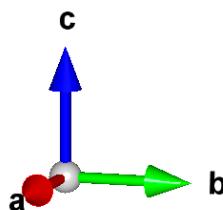
Lattice planes are described with three integer Miller indices as (hkl) , where h, k, l – are three smallest integer numbers, proportional to $\frac{1}{u}, \frac{1}{v}, \frac{1}{w}$.

(hkl) - lattice plane

$\{hkl\}$ - set of equivalent planes

$$u = \frac{1}{\infty} \quad v = \frac{1}{2} \quad w = \frac{1}{2}$$

$$\frac{1}{u} = 0 \quad \frac{1}{v} = 2 \quad \frac{1}{w} = 2$$



Crystallographic planes

Lattice plane is defined by 3 non-collinear lattice points.

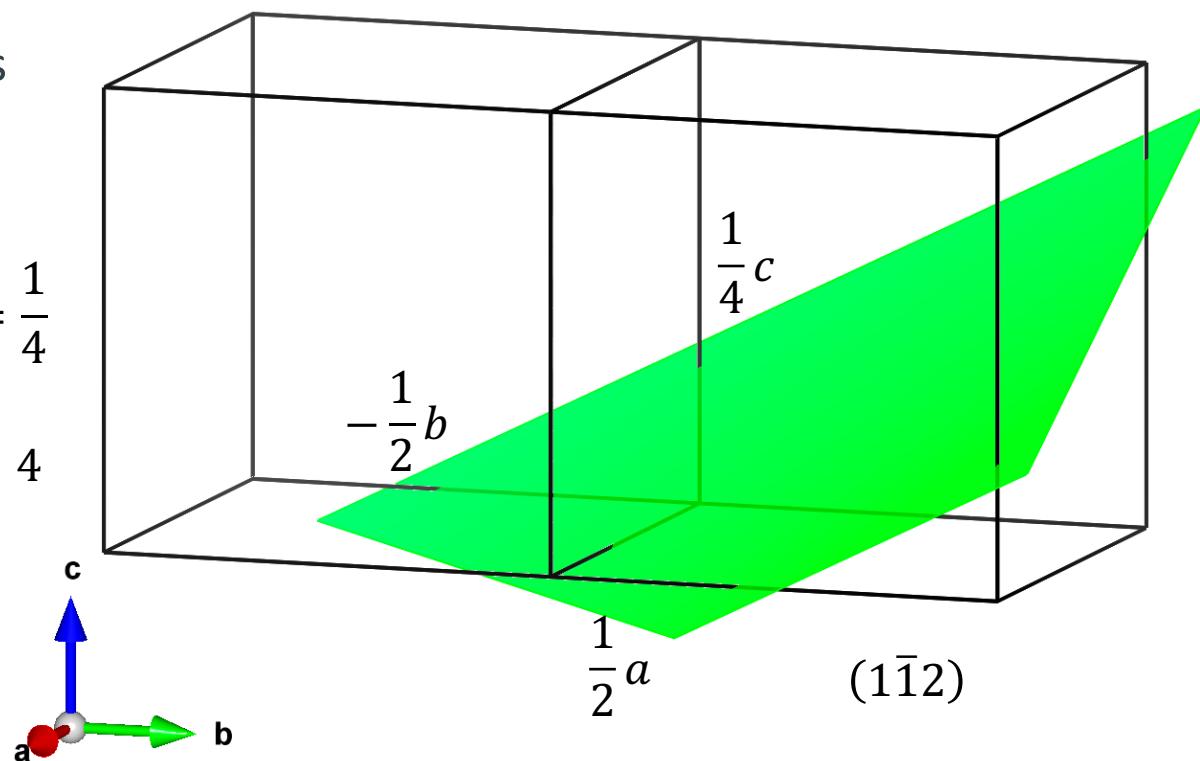
Lattice planes are described with three integer Miller indices as (hkl) , where h, k, l – are three smallest integer numbers, proportional to $\frac{1}{u}, \frac{1}{v}, \frac{1}{w}$.

(hkl) - lattice plane

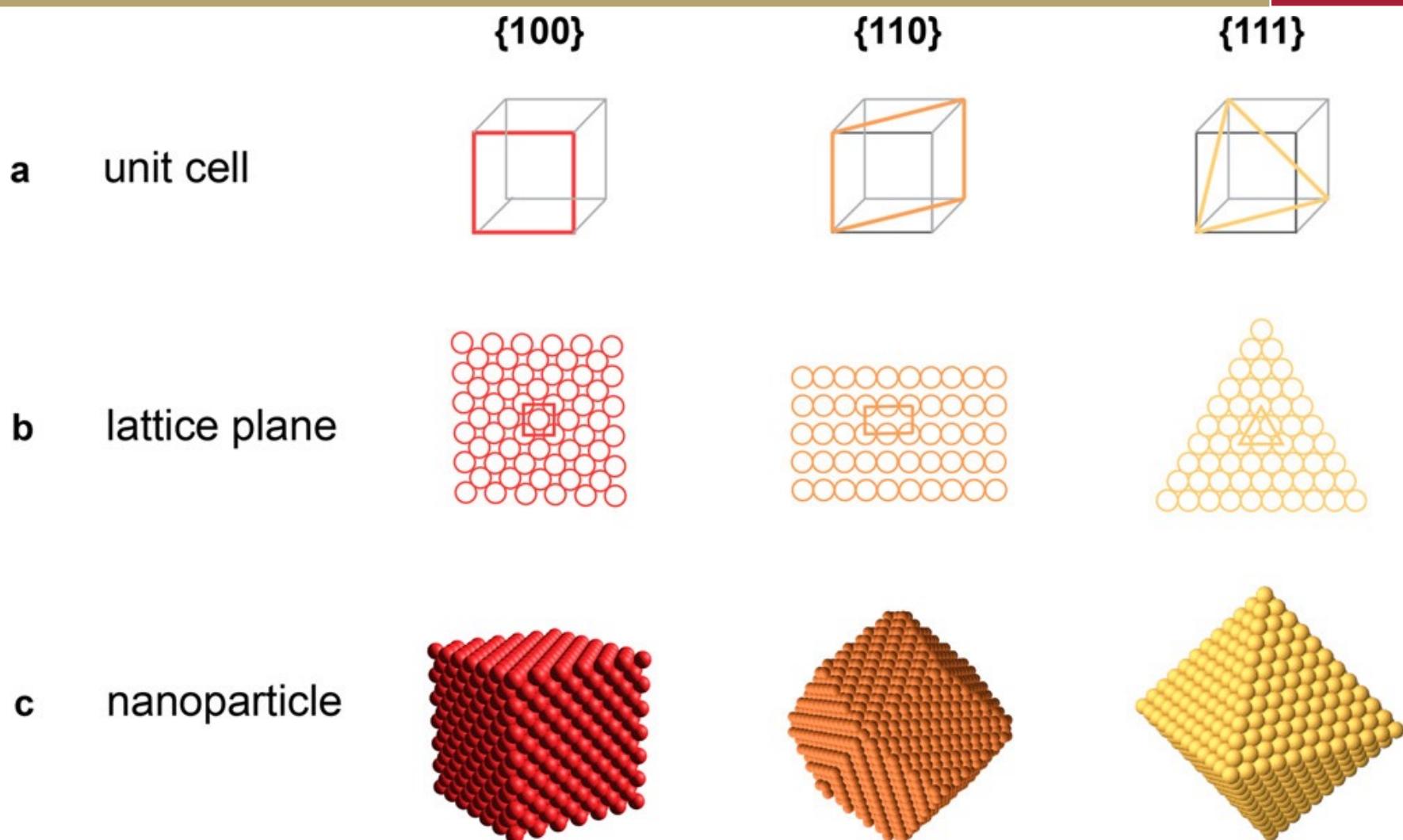
$\{hkl\}$ - set of equivalent planes

$$u = \frac{1}{2} \quad v = -\frac{1}{2} \quad w = \frac{1}{4}$$

$$\frac{1}{u} = 2 \quad \frac{1}{v} = -2 \quad \frac{1}{w} = 4$$



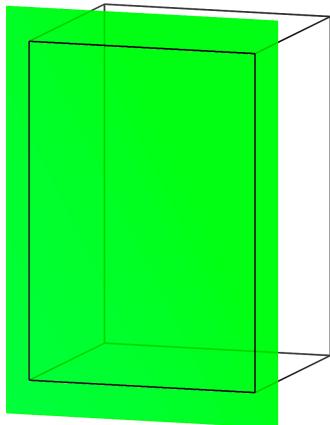
Why do we need lattice planes?



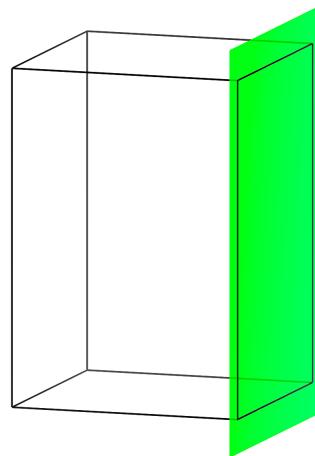
A.K. Bentley, S.E. Skrabalak. *J. Chem. Educ.* **100**, 3425 (2023)

Equivalent planes for tetragonal lattice

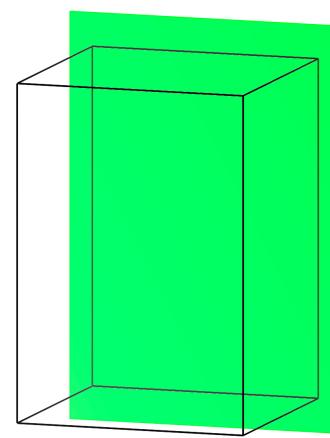
$$\{100\} \rightarrow (100), (010), (\bar{1}00), (0\bar{1}0)$$



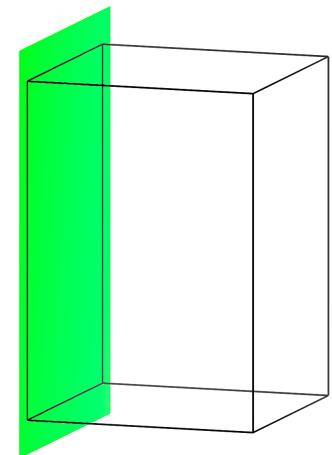
(100)



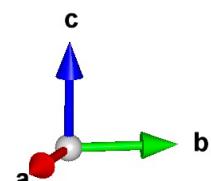
(010)



(1̄00)



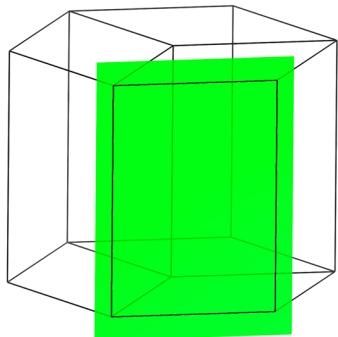
(0̄10)



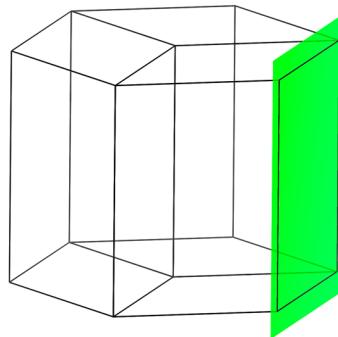
Miller indices of equivalent planes can be obtained as permutations

Miller indices for hexagonal lattice

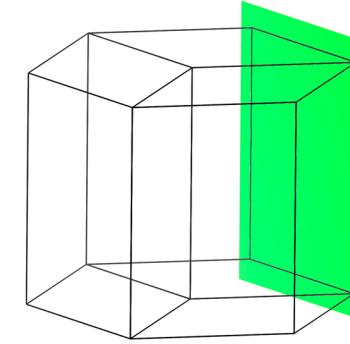
$$\{100\} \rightarrow (100), (010), (\bar{1}00), (0\bar{1}0), (\bar{1}\bar{1}0), (1\bar{1}0)$$



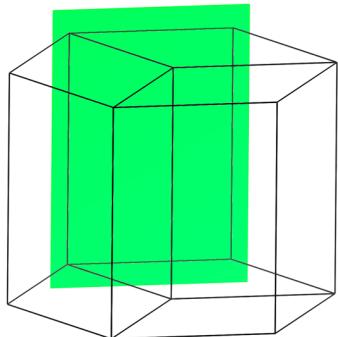
(100)



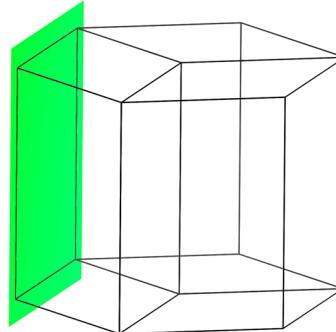
(010)



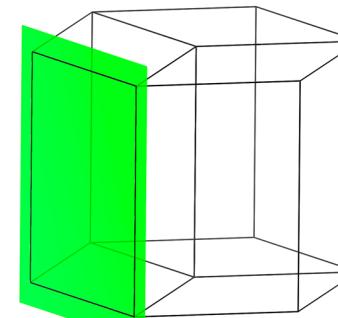
(\bar{1}10)



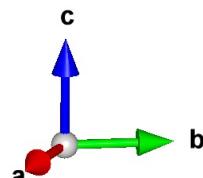
(\bar{1}00)



(0\bar{1}0)



(1\bar{1}0)

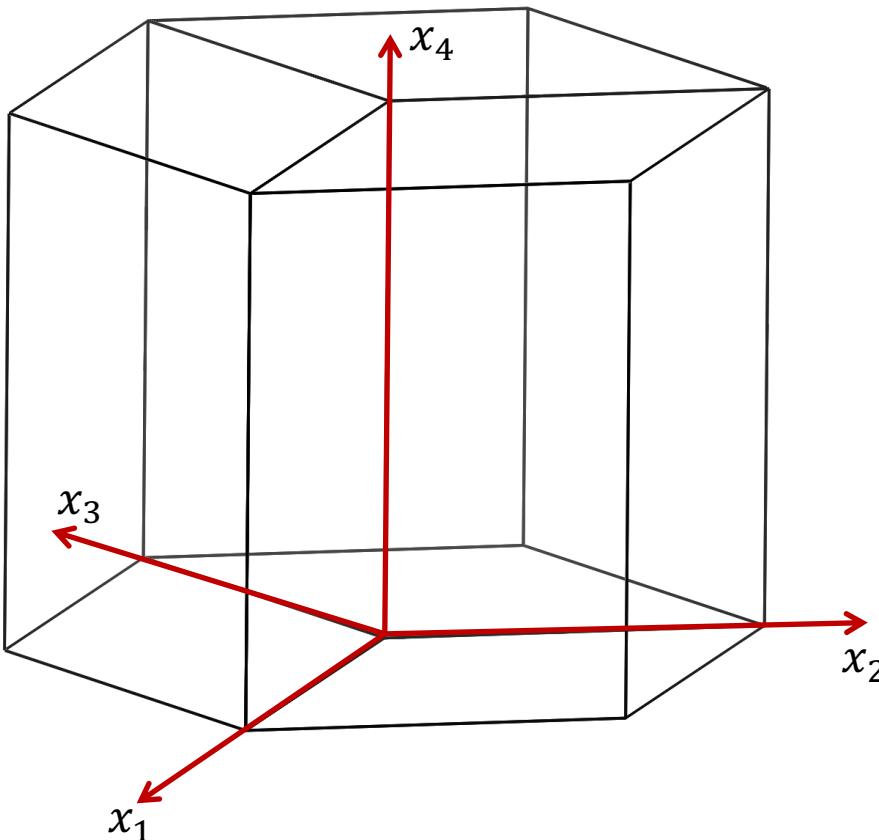


Miller indices of equivalent planes **cannot** be obtained as permutations

Miller indices for hexagonal lattice

x_1, x_2, x_3 - equivalent axis for the hexagonal symmetry

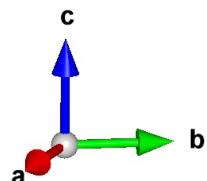
$$x_3 = -(x_1 + x_2)$$



“Usual” Miller indices:
(hkl)

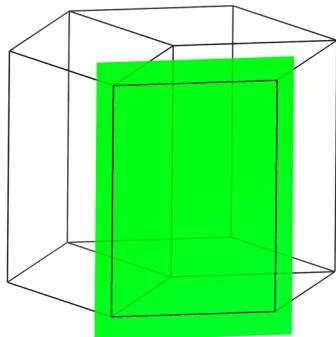
Miller-Bravais indices:
($hkil$)

$$i = -(h + k)$$

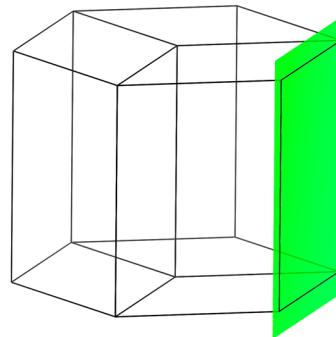


Miller indices for hexagonal lattice

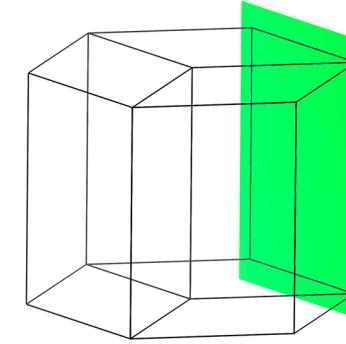
$$\{1\bar{1}00\} \rightarrow (10\bar{1}0), (01\bar{1}0), (\bar{1}100), (\bar{1}010), (0\bar{1}10), (1\bar{1}00)$$



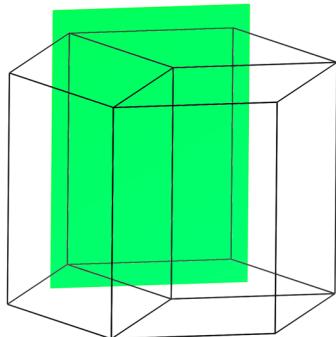
(10 $\bar{1}$ 0)



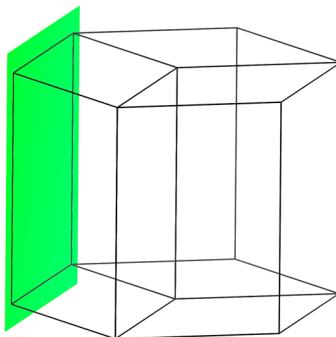
(01 $\bar{1}$ 0)



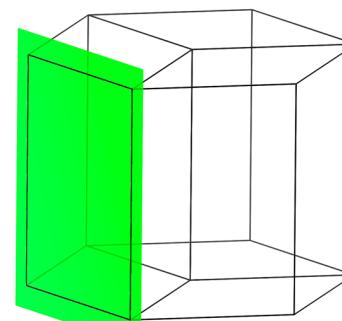
($\bar{1}$ 100)



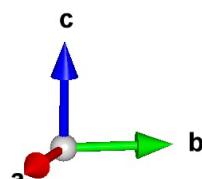
($\bar{1}$ 010)



(0 $\bar{1}$ 10)

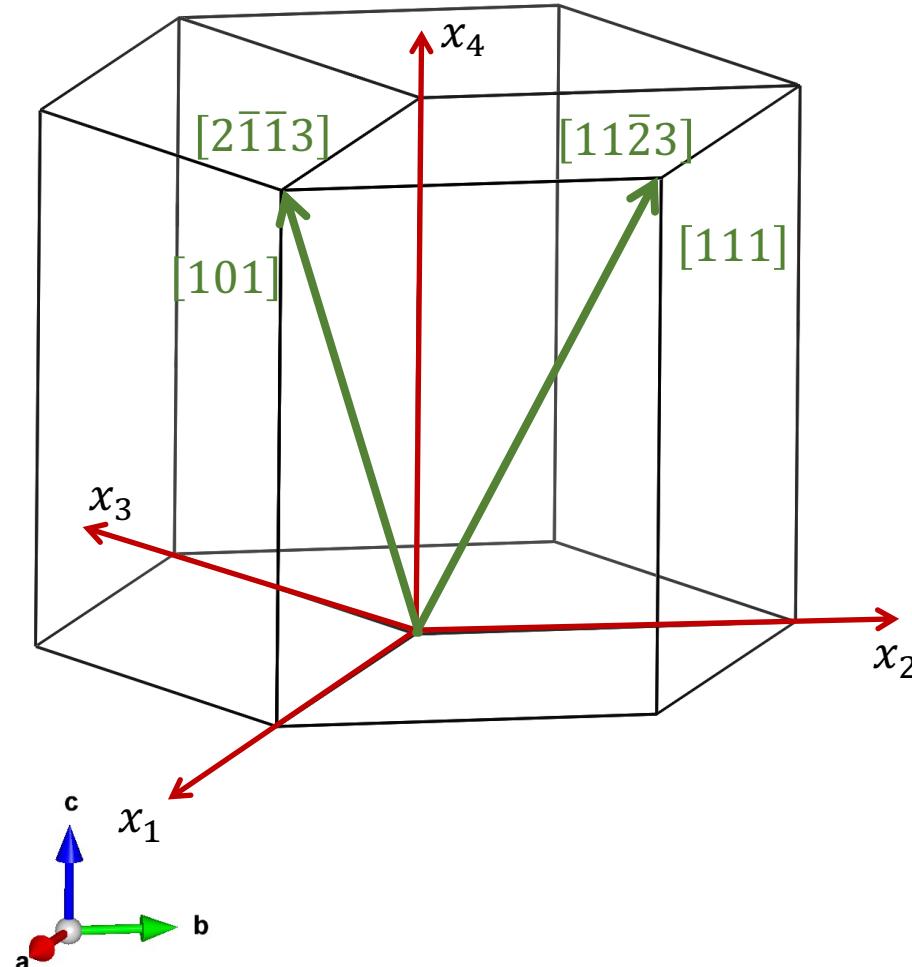


(1 $\bar{1}$ 00)



Miller-Bravais indices of equivalent planes **can** be obtained as permutations

Miller indices for hexagonal lattice



Transformation from Miller indices to
Miller-Bravais indices for directions

$$[uvw] \Rightarrow [u'v't'w']$$

$$u\vec{a}_1 + v\vec{a}_2 + w\vec{c} = u'\vec{a}_1 + v'\vec{a}_2 + t'\vec{a}_3 + w'\vec{c}$$
$$\vec{a}_3 = -(\vec{a}_1 + \vec{a}_2)$$

$$\begin{cases} u = u' - t' \\ v = v' - t' \\ w = w' \\ t' = -(u' + v') \end{cases}$$

$$\begin{cases} u' = \frac{1}{3}(2u - v) \\ v' = \frac{1}{3}(2v - u) \\ t' = -\frac{1}{3}(u + v) \\ w' = w \end{cases}$$

Fourier series

In Fourier space (reciprocal space), description is done in terms of waves.

$$f(x) = \sum_{n=-\infty}^{+\infty} c_n \cdot \exp(ik_n x)$$

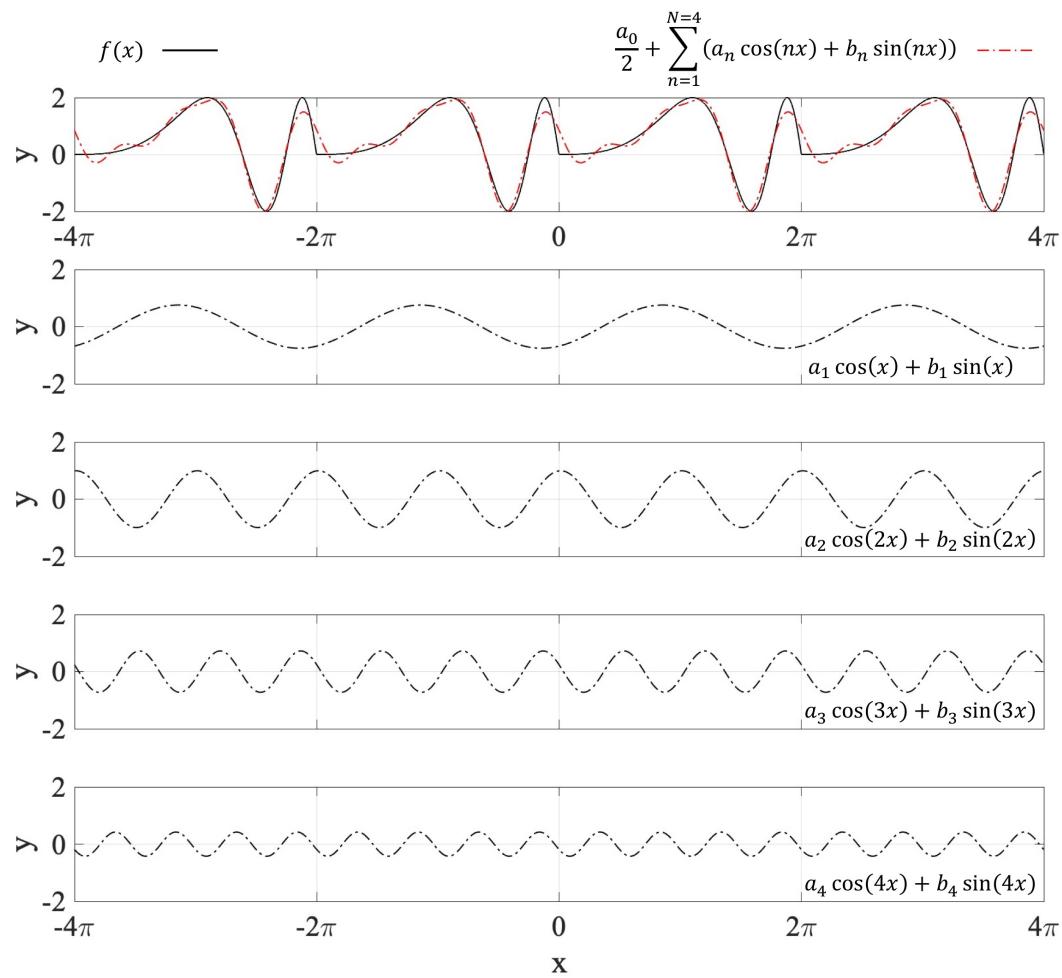
sum of waves with wavevectors

$$k_n = \frac{2\pi}{L} n$$

and complex amplitudes

$$c_n = \frac{1}{L} \int_{-\frac{L}{2}}^{\frac{L}{2}} f(x) \cdot \exp(-ik_n x) dx$$

periodic function with period L



Fourier transform

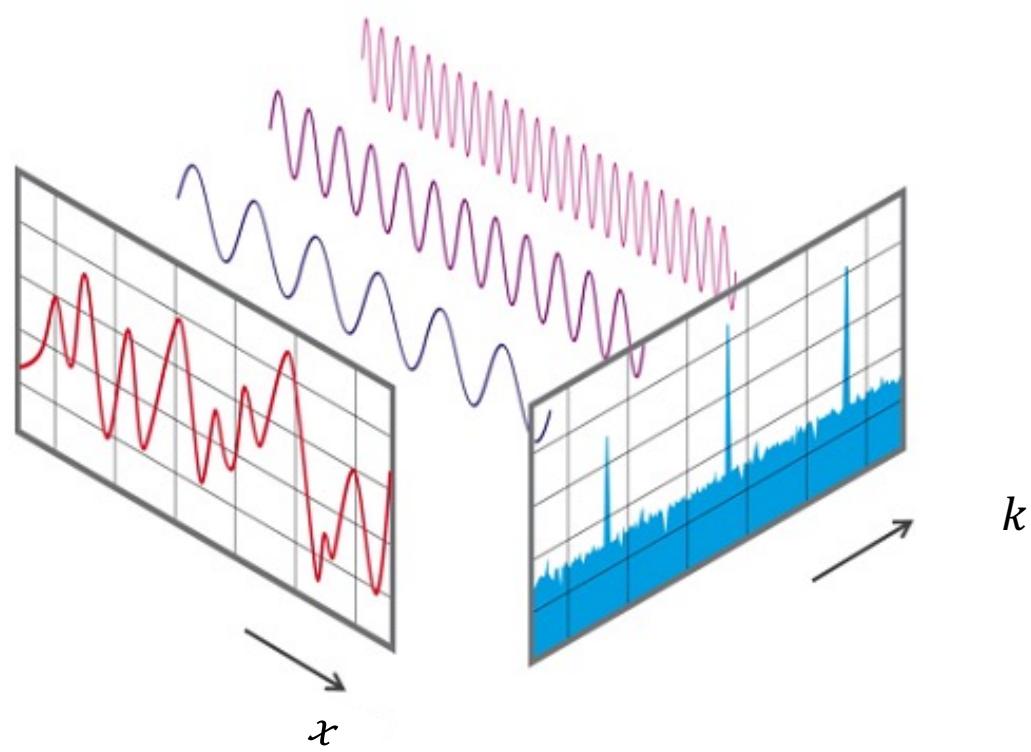
In Fourier space (reciprocal space), description is done in terms of waves.

$$f(x) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} c(k) \cdot \exp(ikx) dx$$

integral of waves with wavevector
 $k \in (-\infty, +\infty)$

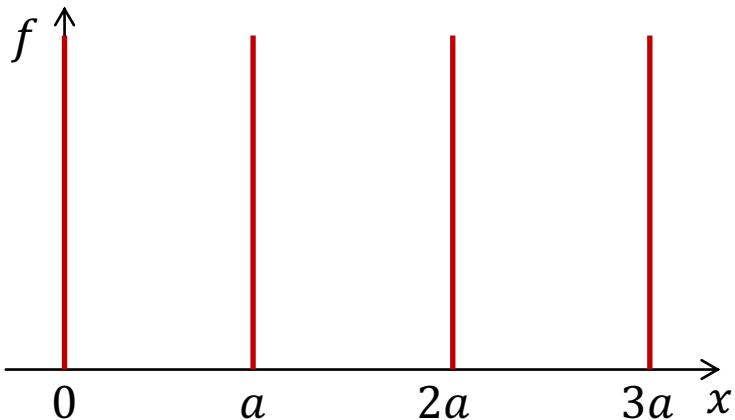
and complex amplitudes

$$c(k) = \int_{-\infty}^{+\infty} f(x) \cdot \exp(-ikx) dx$$



almost any function (not necessarily periodic)

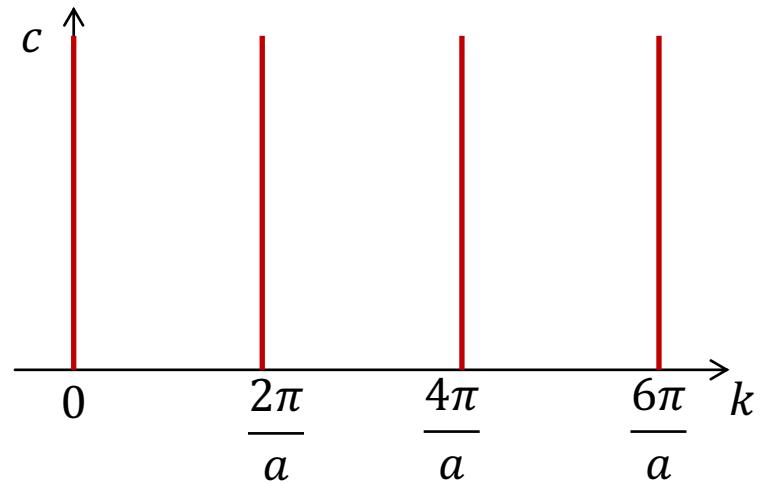
Dirac comb



$$f(x) = \sum_{n=-\infty}^{+\infty} \delta(x - n \cdot a)$$

$$c_n = \frac{1}{a} \int_{-\frac{a}{2}}^{\frac{a}{2}} f(x) \cdot \exp(-ik_n x) dx = \frac{1}{a} \sum_{n=-\infty}^{+\infty} \int_{-\frac{a}{2}}^{\frac{a}{2}} \delta(x - n \cdot a) \cdot \exp(-ik_n x) dx = \frac{1}{a}$$
$$k_n = \frac{2\pi}{a} n$$

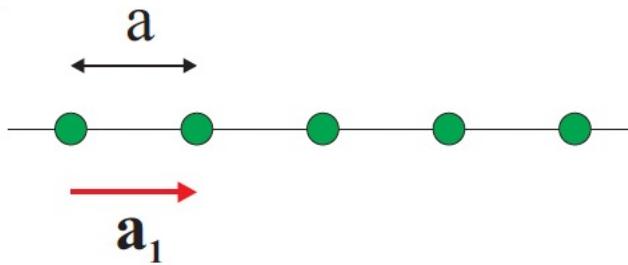
$$f(x) = \frac{1}{a} \sum_{n=-\infty}^{+\infty} \exp(ik_n x)$$



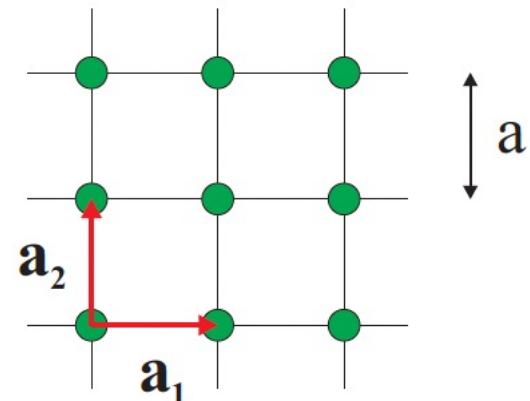
Fourier transform of a lattice

Real space

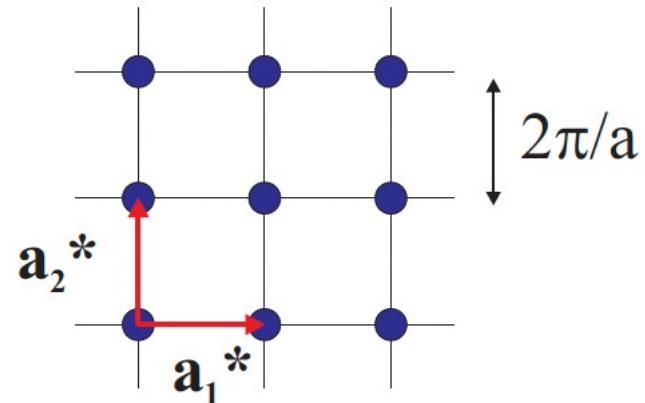
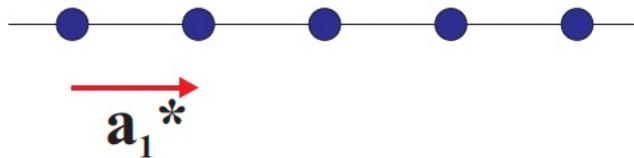
1D



2D



$2\pi/a$



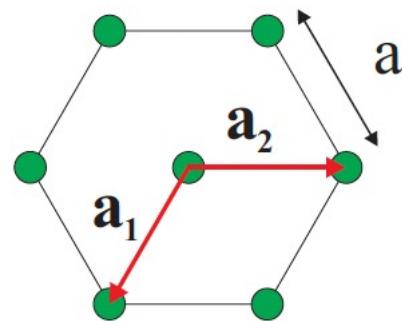
Reciprocal space

J. Als-Nielsen, D. McMorrow, *Elements of Modern X-ray Scattering* (2011)

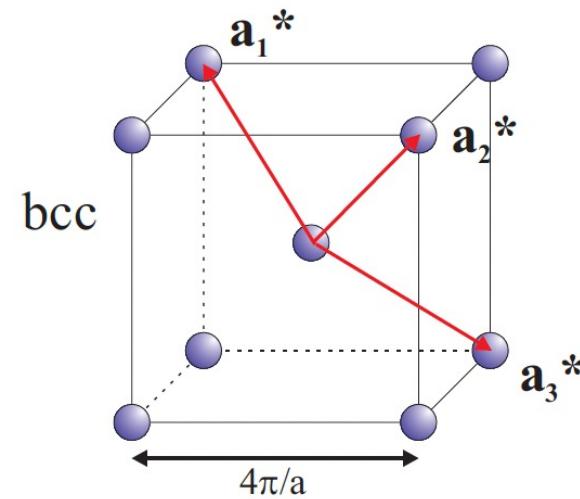
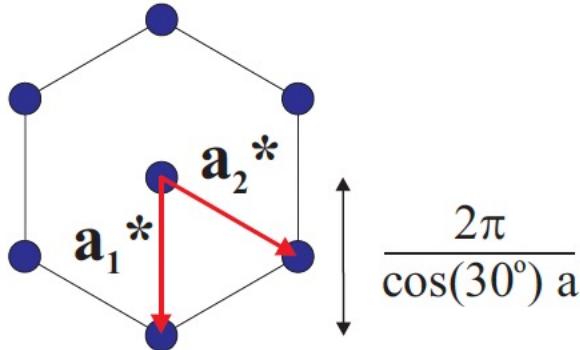
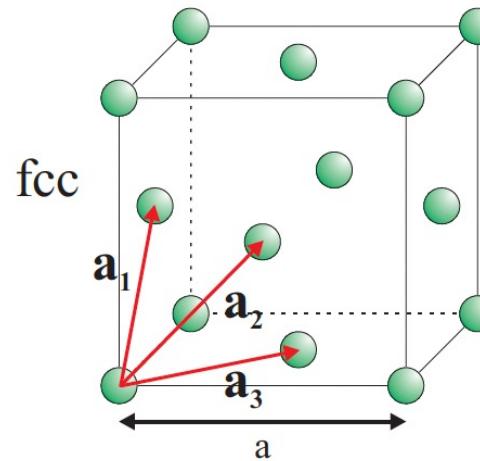
Fourier transform of a lattice

Real space

2D



3D



Reciprocal space

J. Als-Nielsen, D. McMorrow, *Elements of Modern X-ray Scattering* (2011)